

# Heavy Neutral Leptons, Searches for

## OMITTED FROM SUMMARY TABLE

We define searches for Heavy Neutral Leptons (HNLs) as searches for Dirac or Majorana fermions with sterile neutrino quantum numbers, that are heavy enough to not disrupt the simplest Big Bang Nucleosynthesis bounds and/or unstable on cosmological timescales: Typically HNLs have mass  $\sim$  MeV or higher.

Searches for these particles generically set bounds on the mixing between the HNL and the active neutrinos, as parametrized by the extended  $3 \times 4$  PMNS matrix elements  $U_{\ell x}$  (see the "Neutrino mass, mixing and oscillations" review) where  $\ell = e, \mu$  or  $\tau$ , and we denote the HNL as  $\nu_x$ . While many measurements may be interpreted to place bounds on various combinations of these matrix elements, we quote below limits only for those cases in which one matrix element is assumed to be much larger than the other two, i.e.  $|U_{\ell x}| \gg |U_{\ell' x}|$  for  $\ell' \neq \ell$ .

Experimental searches make use of various different strategies, including e.g. resonance searches in missing mass decay distributions or specific final states, searches for lepton number violating decays, and trilepton signatures. The resulting bounds on  $U_{\ell x}$  are typically dependent on the HNL mass. The quoted limits below are either the best limit near an experimental kinematic threshold, or a characteristic value in the mass range of the experimental sensitivity.

### Limits on heavy neutral lepton mixing parameters

#### Limits on $|U_{ex}|^2$

Quoted limits are either the best limit near the kinematic threshold of the experiment, or a characteristic value in the mass range of the experimental sensitivity

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
$< 2 \times 10^{-4}$	95	1 FRIEDRICH 21		Near $m_{7\text{Be}} - m_{7\text{Li}}$ kin. thres.
$< 1 \times 10^{-9}$	90	2 CORTINA-GIL 20	NA62	$m_{\nu_x} \sim 150\text{--}400$ MeV
$< 2 \times 10^{-5}$	95	3 AAD 19F	ATLS	$m_{\nu_x} \sim 15\text{--}40$ GeV
$< 1 \times 10^{-9}$	90	4 ABE 19B	T2K	Near $m_K - m_e$ kin. thres.
$< 1 \times 10^{-4}$	90	5 ABLIKIM 19AL	BES3	$m_{\nu_x} \sim 0.3\text{--}0.7$ GeV
$< 1 \times 10^{-8}$	90	6 AGUILAR-AR... 18A	PIEN	$m_{\nu_x} \sim 60\text{--}120$ MeV $\text{jbr}_j$
$< 3 \times 10^{-7}$	90	7 CORTINA-GIL 18	NA62	$m_{\nu_x} \sim 200\text{--}400$ MeV
$< 1 \times 10^{-6}$	90	8 PARK 16	BELL	$m_{\nu_x} \sim 1.4$ GeV
$< 3 \times 10^{-5}$	90	9 LIVENTSEV 13	BELL	Near $m_{\nu_x} \sim 2\text{--}2.5$ GeV
$< 3 \times 10^{-5}$	95	10 ABREU 97I	DLPH	$m_{\nu_x} \sim 6\text{--}50$ GeV
$< 2 \times 10^{-5}$	95	11 ABREU 97I	DLPH	Near $m_{\nu_x} \sim 3.5$ GeV
$< 1 \times 10^{-5}$	90	12 BARANOV 93		Near $m_\pi - m_e$ kin. thres.
$< 2 \times 10^{-7}$	90	12 BARANOV 93		Near $m_K - m_e$ kin. thres.
$< 1 \times 10^{-7}$		13,14 BERNARDI 88	CNTR	Near $m_\pi - m_e$ kin. thres.

- $<2 \times 10^{-9}$                     14,15 BERNARDI    88    CNTR    Near  $m_K - m_e$  kin. thres.
- $<1 \times 10^{-7}$                     90            16 DORENBOS... 86    CHRM    Near  $m_D - m_e$  kin. thres.
- $<1 \times 10^{-7}$                     90            17 COOPER-... 85    BEBC    Near  $m_D - m_e$  kin. thres.
- <sup>1</sup> Search in electron capture decay  ${}^7\text{Be} \rightarrow {}^7\text{Li}\nu_X$ . Kinematic threshold is  $\sim 850$  keV.
- <sup>2</sup> Search for  $K^+ \rightarrow e^+\nu_X$ . Assumes lifetime of  $\nu_X > 50$  ns.
- <sup>3</sup> Limit from prompt lepton number violating trilepton search.
- <sup>4</sup>  $K^+ \rightarrow e^+\nu_X$ , with  $\nu_X$  decay through  $U_{eX}$ . ABE 19B also considers bounds on  $|U_{\ell X} U_{\ell' X}|$  for combinations of lepton flavors in the  $\nu_X$  decay final state.
- <sup>5</sup> Searches for a Majorana Heavy Neutral Lepton producing a  $\pi^- e^+$  resonance in the same sign dilepton decay  $D \rightarrow K \pi^- e^+ e^+$ .
- <sup>6</sup> Search for  $\pi^+ \rightarrow e^+\nu_X$ .
- <sup>7</sup> Search for  $K^+ \rightarrow e^+\nu_X$ .
- <sup>8</sup> PARK 16 quotes an approximate limit  $B(B^+ \rightarrow e^+\nu_X) < 3 \times 10^{-6}$  in the mass range  $m_{\nu_X} \sim 0.2\text{--}1.4$  GeV.
- <sup>9</sup> Search for  $B^+ \rightarrow e^+\nu_X$ .
- <sup>10</sup> Search for prompt  $\nu_X$  decay signatures.
- <sup>11</sup> Search for displaced  $\nu_X$  decay signatures.
- <sup>12</sup> Searches for  $K$  or  $\pi \rightarrow e^+\nu_X$ ,  $\nu_X \rightarrow e^+ e^- \nu_e$  using a beam dump experiment at the 70 GeV Serpukhov proton synchrotron. BARANOV 93 also considers limits for  $|U_{eX} U_{\mu X}|$  from  $K$  or  $\pi \rightarrow \mu^+\nu_X$ ,  $\nu_X \rightarrow e^+ e^- \nu_e$ .
- <sup>13</sup>  $\pi^+ \rightarrow e^+\nu_X$ , with  $\nu_X$  decay through  $U_{eX}$ .
- <sup>14</sup> BERNARDI 88 also considers bounds on  $|U_{eX} U_{\mu X}|$ .
- <sup>15</sup>  $K^+ \rightarrow e^+\nu_X$ , with  $\nu_X$  decay through  $U_{eX}$ .
- <sup>16</sup>  $D^+ \rightarrow e^+\nu_X$ , with  $\nu_X \rightarrow e^- \ell^+ \nu_\ell$ .
- <sup>17</sup>  $D^+ \rightarrow e^+\nu_X$ , with  $\nu_X \rightarrow e^- \ell^+ \nu_\ell$  or  $\nu_X \rightarrow e^- \pi^+$ .

### Limits on $|U_{\mu X}|^2$

Quoted limits are either the best limit near the kinematic threshold of the experiment, or a characteristic value in the mass range of the experimental sensitivity

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
$<1 \times 10^{-7}$	90	1 ABRATENKO 20	MBNE	Near $m_K - m_\mu$ kin. thres.
$<2 \times 10^{-2}$	90	2 PRIM 20	BELL	$m_{\nu_X} \sim 1$ GeV
$<2 \times 10^{-5}$	95	3 AAD 19F	ATLS	$m_{\nu_X} \sim 10\text{--}50$ GeV
$<2 \times 10^{-6}$	95	4 AAD 19F	ATLS	$m_{\nu_X} \sim 10$ GeV
$<1 \times 10^{-9}$	90	5 ABE 19B	T2K	Near $m_K - m_\mu$ kin. thres.
$<5 \times 10^{-6}$	90	6,7 AGUILAR-AR...19B	PIEN	$m_{\nu_X} \sim 16\text{--}30$ MeV
$<1 \times 10^{-5}$	90	7 AGUILAR-AR...19B	PIEN	Near $m_\pi - m_\mu$ kin. thres.
$<3 \times 10^{-7}$	90	8 CORTINA-GIL 18	NA62	$m_{\nu_X} \sim 250\text{--}350$ MeV
$<3 \times 10^{-6}$	90	8 LAZZERONI 17A	NA62	Near $m_K - m_\mu$ kin. thres.
$<5 \times 10^{-2}$	90	9 PARK 16	BELL	$m_{\nu_X} \sim 1.4$ GeV
$<1 \times 10^{-8}$	90	8 ARTAMONOV 15A	B949	$m_{\nu_X} \sim 200\text{--}300$ MeV
$<3 \times 10^{-5}$	90	10 LIVENTSEV 13	BELL	Near $m_{\nu_X} \sim 2\text{--}2.5$ GeV
$<2.0 \times 10^{-8}$	95	11 DAUM 00	KARM	$m_{\nu_X} = 33.905$ MeV
$<8 \times 10^{-8}$	90	12 VAITAITIS 99	CCFR	Near $m_K - m_\mu$ kin. thres.
$<6 \times 10^{-8}$	90	13 VAITAITIS 99	CCFR	Near $m_{D_s} - m_\mu$ kin. thres.

$<3 \times 10^{-5}$	95	14 ABREU	97i	DLPH	$m_{\nu_X} \sim 6\text{--}50$ GeV
$<2 \times 10^{-5}$	95	15 ABREU	97i	DLPH	Near $m_{\nu_X} \sim 3.5$ GeV
$<3 \times 10^{-5}$	90	16 VILAIN	95c	CHM2	Near $m_K - m_\mu$ kin. thres.
$<3 \times 10^{-8}$		17,18 BERNARDI	88	CNTR	Near $m_\mu + m_\pi$ kin. thres.
$<2 \times 10^{-9}$		18,19 BERNARDI	88	CNTR	Near $m_K - m_\mu$ kin. thres.
$<1 \times 10^{-7}$	90	20 DORENBOS...	86	CHRM	Near $m_D - m_\mu$ kin. thres.
$<1 \times 10^{-7}$	90	21 COOPER-...	85	BEBC	Near $m_D - m_\mu$ kin. thres.

<sup>1</sup>  $K^+ \rightarrow \mu^+ \nu_X$ , with  $\nu_X \rightarrow \mu^- \pi^+$ , in the mass range  $m_{\nu_X} \sim 260\text{--}385$  MeV.

ABRATENKO 20 also considers  $\nu_X \rightarrow \mu^+ \pi^-$  for the case of Majorana HNL.

<sup>2</sup> Search for  $B^+ \rightarrow \mu^+ \nu_X$  in the mass range  $m_{\nu_X} \sim 0\text{--}1.5$  GeV.

<sup>3</sup> Limit from prompt lepton number violating trilepton search.

<sup>4</sup> Limit from displaced lepton violating or conserving trilepton searches.

<sup>5</sup>  $K^+ \rightarrow \mu^+ \nu_X$ , with  $\nu_X$  decay through  $U_{\mu X}$ . ABE 19B also considers bounds on  $|U_{\ell X} U_{\ell' X}|$  for combinations of lepton flavors in the  $\nu_X$  decay final state.

<sup>6</sup> Limit requires muon kinetic energy  $> 1.2$  MeV.

<sup>7</sup> Search for  $\pi^+ \rightarrow \mu^+ \nu_X$ .

<sup>8</sup> Search for  $K^+ \rightarrow \mu^+ \nu_X$ .

<sup>9</sup> PARK 16 quotes an approximate limit  $B(B^+ \rightarrow \mu^+ \nu_X) < 3 \times 10^{-6}$  in the mass range  $m_{\nu_X} \sim 0.2\text{--}1.4$  GeV.

<sup>10</sup> Search for  $B^+ \rightarrow \mu^+ \nu_X$ .

<sup>11</sup> DAUM 00 quotes a branching ratio bound  $B(\pi^+ \rightarrow \mu^+ \nu_X) < 6.0 \times 10^{-10}$  at 95% CL.

<sup>12</sup>  $K^+ \rightarrow \mu^+ \nu_X$ , with  $\nu_X \rightarrow \mu X$ .

<sup>13</sup>  $D_s \rightarrow \mu^+ \nu_X$ , with  $\nu_X \rightarrow \mu X$ .

<sup>14</sup> Search for prompt  $\nu_X$  decay signatures.

<sup>15</sup> Search for displaced  $\nu_X$  decay signatures.

<sup>16</sup> Search for Heavy Neutral Leptons produced by neutral current muon neutrino interactions, with  $\nu_X \rightarrow \mu^+ \mu^- \nu_\mu$ .

<sup>17</sup>  $K^+ \rightarrow \mu^+ \nu_X$ , with  $\nu_X$  decay through  $U_{\mu X}$  and  $m_{\nu_X} < m_\mu + m_\pi$ .

<sup>18</sup> BERNARDI 88 also considers bounds on  $|U_{eX} U_{\mu X}|$ .

<sup>19</sup>  $K^+ \rightarrow \mu^+ \nu_X$ , with  $\nu_X \rightarrow \mu^- \pi^+$ .

<sup>20</sup>  $D^+ \rightarrow \mu^+ \nu_X$ , with  $\nu_X \rightarrow \mu^- \ell^+ \nu_\ell$ .

<sup>21</sup>  $D^+ \rightarrow \mu^+ \nu_X$ , with  $\nu_X \rightarrow \mu^- \ell^+ \nu_\ell$  or  $\nu_X \rightarrow \mu^- \pi^+$ .

## Limits on $|U_{\tau X}|^2$

Quoted limits are either the best limit near the kinematic threshold of the experiment, or a characteristic value in the mass range of the experimental sensitivity

VALUE	CL%	DOCUMENT ID	TECN	COMMENT
$<2 \times 10^{-4}$	90	1 ORLOFF	02	CHRM Near $m_D - m_\tau$ kin. thres.
$<1 \times 10^{-4}$	90	2 ORLOFF	02	CHRM $m_{\nu_X} \sim 200\text{--}250$ MeV
$<3 \times 10^{-5}$	95	3 ABREU	97i	DLPH $m_{\nu_X} \sim 6\text{--}50$ GeV
$<2 \times 10^{-5}$	95	4 ABREU	97i	DLPH Near $m_{\nu_X} \sim 3.5$ GeV

<sup>1</sup>  $D_s \rightarrow \tau^+ \nu_X$ , with  $\nu_X$  decay via  $U_{\tau X}$ .

<sup>2</sup>  $D_s \rightarrow \nu_\tau \tau^+$ ,  $\tau^+ \rightarrow \nu_X X$ , with  $\nu_X$  decay via  $U_{\tau X}$ .

<sup>3</sup> Search for prompt  $\nu_X$  decay signatures.

<sup>4</sup> Search for displaced  $\nu_X$  decay signatures. Kinematical suppression of  $\nu_X \rightarrow \tau X$  at lower masses leads to rapid loosening of the  $|U_{\tau X}|$  bound compared to that for  $|U_{eX}|$  and  $|U_{\mu X}|$ .

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## REFERENCES FOR Heavy Neutral Leptons, Searches for

FRIEDRICH	21	PRL 126 021803	S. Friedrich <i>et al.</i>	(BeEST Collab.)
ABRATENKO	20	PR D101 052001	P. Abratenko <i>et al.</i>	(MiniBooNE Collab.)
CORTINA-GIL	20	PL B807 135599	E. Cortina Gil <i>et al.</i>	(NA62 Collab.)
PRIM	20	PR D101 032007	M.T. Prim <i>et al.</i>	(BELLE Collab.)
AAD	19F	JHEP 1910 265	G. Aad <i>et al.</i>	(ATLAS Collab.)
ABE	19B	PR D100 052006	K. Abe <i>et al.</i>	(T2K Collab.)
ABLIKIM	19AL	PR D99 112002	M. Ablikim <i>et al.</i>	(BESIII Collab.)
AGUILAR-AR...	19B	PL B798 134980	A. Aguilar-Arevalo <i>et al.</i>	(PIENU Collab.)
AGUILAR-AR...	18A	PR D97 072012	A. Aguilar-Arevalo <i>et al.</i>	(PIENU Collab.)
CORTINA-GIL	18	PL B778 137	E. Cortina Gil <i>et al.</i>	(NA62 Collab.)
LAZZERONI	17A	PL B772 712	C. Lazzeroni <i>et al.</i>	(NA62 Collab.)
PARK	16	PR D94 012003	C.-S. Park <i>et al.</i>	(BELLE Collab.)
ARTAMONOV	15A	PR D91 052001	A.V. Artamanov <i>et al.</i>	(E949 Collab.)
LIVENTSEV	13	PR D87 071102	D. Liventsev <i>et al.</i>	(BELLE Collab.)
Also		PR D95 099903 (errat.)	D. Liventsev <i>et al.</i>	(BELLE Collab.)
ORLOFF	02	PL B550 8	J. Orloff <i>et al.</i>	(CHARM Collab.)
DAUM	00	PRL 85 1815	M. Daum <i>et al.</i>	(KARMEN Collab.)
VAITAITIS	99	PRL 83 4943	A. Vaitaitis <i>et al.</i>	(CCFR Collab.)
ABREU	97I	ZPHY C74 57	P. Abreu <i>et al.</i>	(DELPHI Collab.)
Also		ZPHY C75 580 (errat.)	P. Abreu <i>et al.</i>	(DELPHI Collab.)
VILAIN	95C	PL B351 387	P. Vilain <i>et al.</i>	(CHARM II Collab.)
Also		PL B343 453	P. Vilain <i>et al.</i>	(CHARM II Collab.)
BARANOV	93	PL B302 336	S.A. Baranov <i>et al.</i>	(JINR, SERP, BUDA)
BERNARDI	88	PL B203 332	G. Bernardi <i>et al.</i>	(PARIN, CERN, INFN+)
DORENBOS...	86	PL 166B 473	J. Dorenbosch <i>et al.</i>	(CHARM Collab.)
COOPER-...	85	PL 160B 207	A.M. Cooper-Sarkar <i>et al.</i>	(CERN, LOIC+)

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