Written 2009 (see the various sections for authors).

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## 29. PARTICLE DETECTORS FOR NON-ACCELERATOR PHYSICS

#### 29.1. Introduction

Non-accelerator experiments have become increasingly important in particle physics. These include classical cosmic ray experiments, neutrino oscillation measurements, and searches for double-beta decay, dark matter candidates, and magnetic monopoles. The experimental methods are sometimes those familiar at accelerators (plastic scintillators, drift chambers, TRD's, etc.) but there is also instrumentation either not found at accelerators or applied in a radically different way. Examples are atmospheric scintillation

detectors (Fly's Eye), massive Cherenkov detectors (Super-Kamiokande, IceCube), ultracold solid state detectors (CDMS). And, except for the cosmic ray detectors, there is a demand for radiologically ultra-pure materials.

In this section, some more important detectors special to terrestrial non-accelerator experiments are discussed. Techniques used in both accelerator and non-accelerator experiments are described in Sec. 28, Particle Detectors at Accelerators, some of which have been modified to accommodate the non-accelerator nuances. Space-based detectors also use some unique methods, but these are beyond the present scope of *RPP*.

#### 29.2. High-energy cosmic-ray hadron and gamma-ray detectors

#### 29.2.1. Atmospheric fluorescence detectors:

Written September 2009 by L.R. Wiencke (Colorado School of Mines).

Cosmic-ray fluorescence detectors (FD) use the atmosphere as a giant calorimeter to measure isotropic scintillation light that traces the development profiles of extensive air showers (EAS). The EASs observed are produced by the interactions of high-energy ( $E > 10^{17} eV$ ) subatomic particles in the stratosphere and upper troposphere. The amount of scintillation light generated is proportional to energy deposited in the atmosphere and nearly independent of the primary species. Experiments with FDs include the pioneering Fly's Eye [1], HiRes [2], the Telescope Array [3], and the Pierre Auger Observatory [4].

The scintillation light is emitted between 290 and 430 nm (Fig. 29.1), when relativistic charged particles, primarily electrons and positrons, excite nitrogen molecules in air, resulting in transitions of the 1P and 2P systems. Reviews and references for the pioneering and ongoing laboratory measurements of fluorescence yield,  $Y(\lambda, P, T, u)$ , including dependence on wavelength  $(\lambda)$ , temperature (T), pressure (p), and humidity (u) may be found in Refs. 5 and 6.

An FD element (telescope) consists of a non-tracking spherical mirror (3.5–13 m<sup>2</sup> and less than astronomical quality), a close-packed "camera" of PMTs (for example, Hamamatsu R9508 or Photonis XP3062) near the focal plane, and flash ADC readout system with a pulse and track-finding trigger scheme [7]. Simple reflector optics ( $12^{\circ} \times 16^{\circ}$  degree field of view (FOV) on 256 PMTs) and Schmidt optics ( $30^{\circ} \times 30^{\circ}$  FOV on 440 PMTs), including a correcting element, have been used. Segmented mirrors have been fabricated from slumped or slumped/polished glass with anodized aluminized coating and from chemically anodized AlMgSiO<sub>5</sub> affixed to shaped aluminum. A broadband UV pass filter (custom fabricated or Schott MUG-6) covers the camera face or much larger entrance aperture to reduce background light such as starlight, airglow, man-made light pollution, and airplane strobelights.

At  $10^{20}$  eV, where the flux drops to roughly 1 EAS/km<sup>2</sup>century, the aperture for an eye of adjacent FD telescopes that span the horizon can reach  $10^4$  km<sup>2</sup> sr. FD operation requires (nearly) moonless nights and clear atmospheric conditions, which imposes a duty cycle of about 10%. Arrangements of LEDs, calibrated diffuse sources [9], pulsed UV

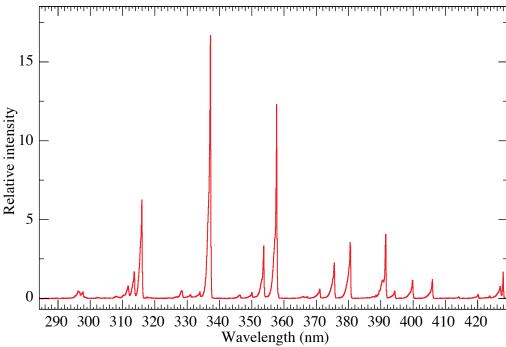


Figure 29.1: Measured fluorescence spectrum excited by 3 MeV electrons in dry air at 800 hPa and 293 K [8].

lasers [10], LIDARs\* [11] and cloud monitors are used for photometric calibration, periodic measurement of atmospheric clarity [12], and identification of clear periods.

The EAS generates a track consistent with a light source moving at v=c across the FOV. The number of photons  $(N_{\gamma})$  as a function of atmospheric depth (X) can be expressed as [6]

$$\frac{\mathrm{d}N_{\gamma}}{\mathrm{d}X} = \frac{dE_{\mathrm{dep}}^{\mathrm{tot}}}{dX} \int Y(\lambda, P, T, u) \cdot \tau_{\mathrm{atm}}(\lambda, X) \cdot \varepsilon_{\mathrm{FD}}(\lambda) \mathrm{d}\lambda \quad , \tag{29.1}$$

where  $\tau_{atm}(\lambda, X)$  is atmospheric transmission, including wavelength  $(\lambda)$  dependence, and  $\varepsilon_{\rm FD}(\lambda)$  is FD efficiency.  $\varepsilon_{\rm FD}(\lambda)$  includes geometric factors and collection efficiency of the optics, quantum efficiency of the PMTs, and other throughput factors. The typical systematic uncertainties, Y (10–15%),  $\tau_{\rm atm}$  (10%) and  $\varepsilon_{\rm FD}$  (photometric calibration 10%), currently dominate the total reconstructed EAS energy uncertainty.  $\Delta E/E$  of 20-25\% is possible, provided the geometric fit of the EAS axis is constrained by multi-eye stereo projection, or by timing from a colocated sparse array of surface detectors.

Analysis methods to reconstruct the EAS profile and deconvolute the contributions of re-scattered scintillation light, and direct and scattered Cherenkov light are described in [1] and more recently in [13]. The EAS energy is typically obtained by integrating over the Gaisser-Hillas function [14]

<sup>\*</sup> This acronym for "Light Detection and Ranging," refers here to systems that measure atmospheric properties from the light scattered backwards from laser pulses directed into the sky.

$$E_{\text{cal}} = \int_0^\infty w_{\text{max}} \left( \frac{X - X_0}{X_{\text{max}} - X_0} \right)^{(X_{\text{max}} - X_0)/\lambda} e^{(X_{\text{max}} - X)/\lambda} dX \quad , \tag{29.2}$$

where  $X_{\text{max}}$  is the depth at which the shower reaches its maximum energy deposit  $w_{\text{max}}$  and  $X_0$  and  $\lambda$  are two shape parameters.

## 29.2.2. Atmospheric Cherenkov telescopes for high-energy $\gamma$ -ray astronomy: Written August 2009 by J. Holder (Bartol Research Inst., Univ. of Delaware).

A wide variety of astrophysical objects are now known to produce high-energy  $\gamma$ -ray photons. Leptonic or hadronic particle populations, accelerated to relativistic energies in the source, produce  $\gamma$  rays typically through inverse Compton boosting of ambient photons, or through the decay of neutral pions produced in hadronic interactions. At energies below  $\sim 30$  GeV,  $\gamma$ -ray emission can be detected directly using satellite or balloon-borne instrumentation, with an effective area approximately equal to the size of the detector ( $< 1 \text{ m}^2$ ). At higher energies, a technique with much larger effective collection area is required to measure astrophysical  $\gamma$ -ray fluxes, which decrease rapidly with increasing energy. Atmospheric Cherenkov detectors achieve effective collection areas of  $\sim 10^5$  m<sup>2</sup> by employing the Earth's atmosphere as an intrinsic part of the detection technique.

As described in Chapter 24, a hadronic cosmic ray or high energy  $\gamma$ -ray incident on the Earth's atmosphere triggers a particle cascade, or air shower. Relativistic charged particles in the cascade produce Cherenkov radiation, which is emitted along the shower direction, resulting in a light pool on the ground with a radius of  $\sim 130$  m. Cherenkov light is produced throughout the cascade development, with the maximum emission occurring when the number of particles in the cascade is largest, at an altitude of  $\sim 10$  km for primary energies of  $100\,\text{GeV}-1\,\text{TeV}$ . Following absorption and scattering in the atmosphere, the Cherenkov light at ground level peaks at a wavelength,  $\lambda \approx 300\text{--}350$  nm. The photon density is typically  $\sim 100$  photons/m<sup>2</sup> at 1 TeV, arriving in a brief flash of a few nanoseconds duration. This Cherenkov pulse can be detected from any point within the light pool radius by using large reflecting surfaces to focus the Cherenkov light on to fast photon detectors (Fig. 29.2).

Modern atmospheric Cherenkov telescopes, such as those built and operated by the H.E.S.S. [16], MAGIC [17] and VERITAS [15] collaborations, consist of large (>  $100 \,\mathrm{m}^2$ ) segmented mirrors on steerable altitude-azimuth mounts. A camera, made from an array of up to 1000 photomultiplier tubes (PMTs) covering a field-of-view of up to  $5.0^{\circ}$  in diameter, is placed at the mirror focus and used to record a Cherenkov image of each air shower. Images are recorded at a rate of a few hundred Hz, the vast majority of which are due to showers with hadronic cosmic-ray primaries. The shape and orientation of the Cherenkov images are used to discriminate  $\gamma$ -ray photon events from this cosmic-ray background, and to reconstruct the photon energy and arrival direction.  $\gamma$ -ray images result from purely electromagnetic cascades and appear as narrow, elongated ellipses in the camera plane. The long axis of the ellipse corresponds to the vertical extension of the air shower, and points back towards the source position in the field-of-view. If multiple telescopes are used to view the same shower ("stereoscopy"), the source position

is simply the intersection point of the various image axes. Cosmic-ray primaries produce secondaries with large transverse momenta, which initiate sub-showers. Their images are consequently wider and less regular than those with  $\gamma$ -ray primaries and, since the original charged particle has been deflected by galactic magnetic fields before reaching the Earth, the images have no preferred orientation.

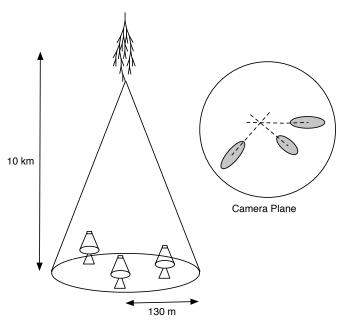


Figure 29.2: A schematic illustration of an atmospheric Cherenkov telescope array. The primary particle initiates an air shower, resulting in a cone of Cherenkov radiation. Telescopes within the Cherenkov light pool record elliptical images; the intersection of the long axes of these images indicates the arrival direction of the primary, and hence the location of a  $\gamma$ -ray source in the sky.

The measurable differences in Cherenkov image orientation and morphology provide the background discrimination which makes ground-based  $\gamma$ -ray astronomy possible. For point-like sources, such as distant Active Galactic Nuclei (AGNs), modern instruments can reject up to 99.999% of the triggered cosmic-ray events, while retaining up to 50% of the  $\gamma$ -ray population. In the case of spatially extended sources, such as Galactic supernova remnants (SNR), the background rejection is less efficient, but the technique can be used to produce  $\gamma$ -ray maps of the emission from the source. The angular resolution depends upon the energy of the primary  $\gamma$ -ray, but is typically  $0.1^{\circ}$  per event (68% containment radius) at energies above a few hundred GeV.

The total Cherenkov yield from the air shower is proportional to the energy of the primary particle. The image intensity, combined with the reconstructed distance of the shower core from each telescope, can therefore be used to estimate the primary energy. The energy resolution of this technique, also energy-dependent, is typically 15–20 at energies above a few hundred GeV. Energy spectra of  $\gamma$ -ray sources can be measured over a wide range; potentially from  $\sim 50 \text{ GeV}$  to  $\sim 100 \text{ TeV}$ , depending upon the instrument characteristics, source strength, and exposure time. To a first approximation, the lower

energy threshold at the trigger level,  $E_T$ , depends upon the mirror area, A, the photon collection efficiency,  $\eta(\lambda)$ , the Cherenkov light yield,  $C(\lambda)$ , the night sky background light,  $B(\lambda)$ , the solid angle,  $\Omega$ , and the trigger resolving time,  $\tau$ , as follows [18]:

$$E_T \propto \frac{1}{C(\lambda)} \sqrt{\frac{B(\lambda)\Omega\tau}{\eta(\lambda)A}}$$
 (29.3)

In practice, this function may be modified by the properties of the detector; for example, by complex, multi-level, combinatorial trigger systems and highly pixellated fields of view. In addition, the useful scientific threshold, after the application of analysis cuts to select  $\gamma$ -ray events, is always somewhat higher than this.

The first astrophysical source to be convincingly detected using the imaging atmospheric Cherenkov technique was the Crab Nebula [19], with a flux of  $2.1 \times 10^{-11}$  photons cm<sup>-2</sup> s<sup>-1</sup> above 1 TeV [20]. Modern arrays have sensitivity sufficient to detect sources with 1% of the Crab Nebula flux in a few tens of hours, and the TeV source catalog now consists of more than 80 sources. The majority of these have been detected by scanning the Galactic plane from the southern hemisphere with the H.E.S.S. telescope array [21].

#### 29.3. Large neutrino detectors

#### 29.3.1. Deep liquid detectors for rare processes:

Written September 2009 by K. Scholberg & C.W. Walter (Duke University)

Deep, large detectors for rare processes tend to be multi-purpose with physics reach that includes not only solar, reactor, supernova and atmospheric neutrinos, but also searches for baryon number violation, searches for exotic particles such as magnetic monopoles, and neutrino and cosmic ray astrophysics in different energy regimes. The detectors may also serve as targets for long-baseline neutrino beams for neutrino oscillation physics studies. In general, detector design considerations can be divided into high-and low-energy regimes, for which background and event reconstruction issues differ. The high-energy regime, from about 100 MeV to a few hundred GeV, is relevant for proton decay searches, atmospheric neutrinos and high-energy astrophysical neutrinos. The low-energy regime (a few tens of MeV or less) is relevant for supernova, solar, reactor and geological neutrinos.

Large water Cherenkov and scintillator detectors (see Table 29.1) usually consist of a volume of transparent liquid viewed by photomultiplier tubes (PMTs) (see Sec. 28.2); the liquid serves as active target. PMT hit charges and times are recorded and digitized and triggering is usually based on coincidence of PMTs hits within a time window comparable to the detector's light-crossing time. Because photosensors lining an inner surface represent a driving cost that scales as surface area, very large volumes can be used for comparatively reasonable cost. Some detectors are segmented into subvolumes individually viewed by PMTs, and may include other detector elements (e.g., tracking detectors). Devices to increase light collection, e.g., reflectors or waveshifter plates, may be employed. A common configuration is to have at least one concentric outer layer of liquid material separated from the inner part of the detector to serve as shielding

Table 29.1	: Properties	of large	detectors	for rare	processes.	If total	target	mass is
divided into	large submo	dules, the	e number	of subdet	ectors is in	dicated i	in parei	ntheses.

Detector	Fid. mass, kton (modules)	PMTs (diameter, cm)	ξ	pe/MeV	Dates
Baksan	0.33, scint (3150)	1/module (15)	segmented	40	1980-
MACRO	0.6, scint (476)	2-4/module (20)	segmented	18	1989-2000
LVD	1, scint. (840)	3/module (15)	segmented	15	1992 -
KamLAND	1, scint	$1325(43) + 554(51)^*$	34%	460	2002 -
Borexino	0.1,  scint	2212 (20)	30%	500	2005-
SNO+	0.78, scint	9438 (20)	54%	400-900	Future
Chooz	0.005, scint (Gd)	192 (20)	15%	130	1997–1998
Double Choo	$z = 0.020 \operatorname{scint}(Gd)(2)$	534/module (20)	13%	180	Future
Daya Bay	0.160, scint (Gd) (8)	192/module (20)	$5.6\%^\dagger$	100	Future
RENO	0.030, scint (Gd) (2)	342/module (25)	12.6%	100	Future
IMB-1	$3.3, H_2O$	$2048 \ (12.5)$	1%	0.25	1982 - 1985
IMB-2	$3.3, H_2O$	2048 (20)	4.5%	1.1	1987-1990
Kam I	$0.88/0.78,\mathrm{H_2O}$	1000/948 (50)	20%	3.4	1986-1990
Kam II	$1.04, H_2O$	948 (50)	20%	3.4	1993–1998
SK I	$22.5, H_2O$	11146 (50)	39%	6	1996-2001
SK II	$22.5, H_2O$	5182 (50)	19%	3	2002-2005
SK III+	$22.5,\mathrm{H_2O}$	11129 (50)	39%	6	2006-
SNO	$1, {\rm D_2O}/1.7 {\rm H_2O}$	9438 (20)	54%	9	1999–2006

<sup>\*</sup> The 51 cm PMTs were added in 2003.

against ambient background. If optically separated and instrumented with PMTs, an outer layer may also serve as an active veto against entering cosmic rays and other background events. The PMTs for large detectors typically range in size from 20 cm to 50 cm diameter, and typical quantum efficiencies are in the 20–25% range. The active liquid volume requires purification and there may be continuous recirculation of liquid. For large homogeneous detectors, the event interaction vertex is determined using relative timing of PMT hits, and energy deposition is determined from the number of recorded photoelectrons. A "fiducial volume" is usually defined within the full detector volume, some distance away from the PMT array. Inside the fiducial volume, enough PMTs are illuminated per event that reconstruction is considered reliable, and furthermore, entering background from the enclosing walls is suppressed by a buffer of self-shielding. PMT

<sup>†</sup> The effective Daya Bay coverage is 12% with top and bottom reflectors.

and detector optical parameters are calibrated using laser, LED, or other light sources. Quality of event reconstruction typically depends on photoelectron yield, pixelization and timing.

Because in most cases one is searching for rare events, large detectors are usually sited underground to reduce cosmic-ray related background (see Chapter 24). The minimum depth required varies according to the physics goals [22].

#### **29.3.1.1.** Liquid scintillator detectors:

Past and current large underground detectors based on hydrocarbon scintillators include LVD, MACRO, Baksan, Borexino, KamLAND and SNO+. Experiments at nuclear reactors include Chooz, Double Chooz, Daya Bay, and RENO. Organic liquid scintillators (see Sec. 28.3.0) for large detectors are chosen for high light yield and attenuation length, good stability, compatibility with other detector materials, high flash point, low toxicity, appropriate density for mechanical stability, and low cost. They may be doped with waveshifters and stabilizing agents. Popular choices are pseudocumene (1,2,4-trimethylbenzene) with a few g/L of the PPO (2,5-diphenyloxazole) fluor, and linear alkylbenzene (LAB). In a typical detector configuration there will be active or passive regions of undoped scintillator, non-scintillating mineral oil or water surrounding the inner neutrino target volume. A thin vessel or balloon made of nylon, acrylic or other material transparent to scintillation light may contain the inner target; if the scintillator is buoyant with respect to its buffer, ropes may hold the balloon in place. For phototube surface coverages in the 20–40% range, yields in the few hundreds of photoelectrons per MeV of energy deposition can be obtained. Typical energy resolution is about  $7\%/\sqrt{E(\text{MeV})}$ , and typical position reconstruction resolution is a few tens of cm at  $\sim 1$  MeV, scaling as  $\sim N^{-1/2}$ , where N is the number of photoelectrons detected.

Shallow detectors for reactor neutrino oscillation experiments require excellent muon veto capabilities. For  $\bar{\nu}_e$  detection via inverse beta decay on free protons,  $\bar{\nu}_e + p \rightarrow n + e^+$ , the neutron is captured by a proton on a ~180  $\mu$ s timescale, resulting in a 2.2 MeV  $\gamma$  ray, observable by Compton scattering and which can be used as a tag in coincidence with the positron signal. The positron annihilation  $\gamma$  rays may also contribute. Inverse beta decay tagging may be improved by addition of Gd at ~0.1% by mass, which for natural isotope abundance has a ~49,000 barn cross-section for neutron capture (in contrast to the 0.3 barn cross-section for capture on free protons). Gd capture takes ~30  $\mu$ s, and is followed by a cascade of  $\gamma$  rays adding up to about 8 MeV. Gadolinium doping of scintillator requires specialized formulation to ensure adequate attenuation length and stability.

Scintillation detectors have an advantage over water Cherenkov detectors in the lack of Cherenkov threshold and the high light yield. However, scintillation light emission is nearly isotropic, and therefore directional capabilities are relatively weak. Liquid scintillator is especially suitable for detection of low-energy events. Radioactive backgrounds are a serious issue, and include long-lived cosmogenics. To go below a few MeV, very careful selection of materials and purification of the scintillator is required (see Sec. 29.6). Fiducialization and tagging can reduce background. A recent idea, not yet realized, is to dissolve neutrinoless double beta decay  $(0\nu\beta\beta)$  isotopes in scintillator (for instance  $^{150}$ Nd in SNO+). Although energy resolution is poor compared to typical  $0\nu\beta\beta$ 

search experiments, the quantity of isotope could be so large that the kinematic signature would be visible as a clear feature in the spectrum.

#### Water Cherenkov detectors:

Very large-imaging water detectors reconstruct ten-meter-scale Cherenkov rings produced by charged particles (see Sec. 28.5.0). The first such large detectors were IMB and Kamiokande. The only currently existing instance of this class, with fiducial volume of 22.5 kton and total mass of 50 kton, is Super-Kamiokande (Super-K). For volumes of this scale, absorption and scattering of Cherenkov light are non-negligible, and a wavelength-dependent factor  $\exp(-d/L(\lambda))$  (where d is the distance from emission to the sensor and  $L(\lambda)$  is the attenuation length of the medium) must be included in the integral of Eq. (28.5) for the photoelectron yield. Attenuation lengths on the order of 100 meters have been achieved.

Cherenkov detectors are excellent electromagnetic calorimeters, and the number of Cherenkov photons produced by an  $e/\gamma$  is nearly proportional to its kinetic energy. For massive particles, the number of photons produced is also related to the energy, but not linearly. For any type of particle, the visible energy  $E_{vis}$  is defined as the energy of an electron which would produce the same number of Cherenkov photons. The number of collected photoelectrons depends on the scattering and attenuation in the water along with the photo-cathode coverage, quantum efficiency and the optical parameters of any external light collection systems or protective material surrounding them. Event-by-event corrections are made for geometry and attenuation. For a typical case, in water  $N_{\rm p.e.} \sim 15 \, \xi \, E_{\rm vis}({\rm MeV})$ , where  $\xi$  is the effective fractional photosensor coverage. Cherenkov photoelectron yield per MeV of energy is relatively small compared to that for scintillator, e.g.,  $\sim 6$  pe/MeV for Super-K with a PMT surface coverage of  $\sim 40\%$ . In spite of light yield and Cherenkov threshold issues, the intrinsic directionality of Cherenkov light allows individual particle tracks to be reconstructed. Vertex and direction fits are performed using PMT hit charges and times, requiring that the hit pattern be consistent with a Cherenkov ring.

High-energy ( $\sim 100 \text{ MeV}$  or more) neutrinos from the atmosphere or beams interact with nucleons; for the nucleons bound inside the <sup>16</sup>O nucleus, the nuclear effects both at the interaction, and as the particles leave the nucleus must be considered when reconstructing the interaction. Various event topologies can be distinguished by their timing and fit patterns, and by presence or absence of light in a veto. "Fully-contained" events are those for which the neutrino interaction final state particles do not leave the inner part of the detector; these have their energies relatively well measured. Neutrino interactions for which the lepton is not contained in the inner detector sample higher-energy parent neutrino energy distributions. For example, in "partially-contained" events, the neutrino interacts inside the inner part of the detector but the lepton (almost always a muon, since only muons are penetrating) exits. "Upward-going muons" can arise from neutrinos which interact in the rock below the detector and create muons which enter the detector and either stop, or go all the way through (entering downward-going muons cannot be distinguished from cosmic rays). At high energies, multi-photoelectron hits are likely and the charge collected by each PMT (rather than the number of PMTs firing) must be used; this degrades the energy resolution to approximately  $2\%/\sqrt{\xi E_{\text{vis}}(\text{GeV})}$ .

The absolute energy scale in this regime can be known to  $\approx 2-3\%$  using cosmic-ray muon energy deposition, Michel electrons and  $\pi^0$  from atmospheric neutrino interactions. Typical vertex resolutions for GeV energies are a few tens of cm [23]. Angular resolution for determination of the direction of a charged particle track is a few degrees. For a neutrino interaction, because some final-state particles are usually below Cherenkov threshold, knowledge of direction of the incoming neutrino direction itself is generally worse than that of the lepton direction, and dependent on neutrino energy.

Multiple particles in an interaction (so long as they are above Cherenkov threshold) may be reconstructed, allowing for the exclusive reconstruction of final states. In searches for proton decay, multiple particles can be kinematically reconstructed to form a decaying nucleon. High-quality particle identification is also possible:  $\gamma$  rays and electrons shower, and electrons scatter, which results in fuzzy rings, whereas muons, pions and protons make sharp rings. These patterns can be quantitatively separated with high reliability using maximum likelihood methods [24]. A  $e/\mu$  misidentification probability of  $\sim 0.4\%/\xi$  in the sub-GeV range is consistent with the performance of several experiments for  $4\% < \xi < 40\%$ . Sources of background for high energy interactions include misidentified cosmic muons and anomalous light patterns when the PMTs sometimes "flash" and emit photons themselves. The latter class of events can be removed using its distinctive PMT signal patterns, which may be repeated. More information about high energy event selection and reconstruction may be found in reference [25].

In spite of the fairly low light yield, large water Cherenkov detectors may be employed for reconstructing low-energy events, down to e.g.  $\sim$  4-5 MeV for Super-K [26]. Low-energy neutrino interactions of solar neutrinos in water are predominantly elastic scattering off atomic electrons; single electron events are then reconstructed. At solar neutrino energies, the visible energy resolution ( $\sim 30\%/\sqrt{\xi\,E_{\rm vis}({\rm MeV})}$ ) is about 20% worse than photoelectron counting statistics would imply. Using an electron LINAC and/or nuclear sources, 0.5–1.5% determination of the absolute energy scale has been achieved at solar neutrino energies. Angular resolution is limited by multiple scattering in this energy regime (25–30°). At these energies, radioactive backgrounds become a dominant issue. These backgrounds include radon in the water itself or emanated by detector materials, and  $\gamma$  rays from the rock and detector materials. In the few to few tens of MeV range, radioactive products of cosmic ray muon-induced spallation are troublesome, and are removed by proximity in time and space to preceding muons, at some cost in dead time.

The Sudbury Neutrino Observatory (SNO) detector [27] is the only instance of a large heavy water detector and deserves mention here. In addition to an outer 1.7 kton of light water, SNO contained 1 kton of D<sub>2</sub>O, giving it unique sensitivity to neutrino neutral current  $(\nu_x + d \to \nu_x + p + n)$ , and charged current  $(\nu_e + d \to p + p + e^-)$  deuteron breakup reactions. The neutrons were detected in three ways: In the first phase, via the reaction  $n + d \to t + \gamma + 6.25$  MeV; Cherenkov radiation from electrons Compton-scattered by the  $\gamma$  rays was observed. In the second phase, NaCl was dissolved in the water. <sup>35</sup>Cl captures neutrons,  $n + {}^{35}\text{Cl} \to {}^{36}\text{Cl} + \gamma + 8.6$  MeV. The  $\gamma$  rays were observed via Compton scattering. In a final phase, specialized low-background <sup>3</sup>He counters ("neutral current detectors" or NCDs) were deployed in the detector. These detected neutrons via

 $n + {}^{3}\text{He} \rightarrow p + t + 0.76 \text{ MeV}$ , and ionization charge from energy loss of the products was recorded in proportional counters.

#### **29.3.2.** Neutrino telescopes:

Written November 2009 by A. Karle (University of Wisconsin).

Neutrino telescopes are large water or ice Cherenkov detectors designed to do neutrino astronomy in the energy range  $10^{11}$ – $10^{18}$  eV. The primary physics goal is the detection of high-energy extra-terrestrial neutrinos. Neutrinos offer a unique view of the high-energy Universe because they are not deflected by magnetic fields like charged cosmic rays, and can travel vast distances without being absorbed like high-energy photons. Establishing correlations with the known high-energy objects in the sky and a measurement of the cosmic high-energy neutrino flux will provide an important insight into the most violent processes of the Universe.

Atmospheric neutrinos, i.e., neutrinos produced in air showers initiated by the cosmic rays entering the Earth's atmosphere, form a background that can be rejected by

- a) measuring the energy of neutrinos (astrophysical fluxes will have a harder spectrum),
- b) identifying point sources, hot spots on the sky, or
- c) finding a burst-like neutrino emission.

The latter, e.g., neutrino detection of  $\gamma$ -ray bursts, might be correlated with observations by other terrestrial or extraterrestrial observatories.

Neutrino telescopes detect neutrinos via the process  $\nu_l(\bar{\nu}_l) + N \to l^{\pm} + X$  of primarily upward-going or horizontal neutrinos interacting with a nucleon N of the matter comprising or surrounding the detector volume. A significant fraction of the neutrino energy  $E_{\nu}$  will be carried away by the produced lepton. For the important case of a lepton being a muon, the angle  $\Delta \psi$  between the parent neutrino and the muon is  $\approx 0.7^{\circ} (E_{\nu}/\text{TeV})^{-0.7}$  [28]. At energies above a few TeV, the angular resolution is dominated by the reconstructed muon direction, which is well below 1° for the newest instruments [29]. The quality of event reconstruction is determined primarily by the optical properties of the medium, and by the instrumented density of sensors.

The small cross sections combined with small expected fluxes of high-energy cosmic neutrinos necessitate very large, km-scale detection volumes. Neutrino telescopes make use of large natural transparent target media such as deep sea water or the deep glacial ice in Antarctica. The target volume is instrumented with large photomultipliers (PMTs). The goal is to maximize the number of detected Cherenkov photons produced by energetic charged particles, and thus to maximize the number of well reconstructed events while keeping the density of PMTs (and thus the cost of the experiment) low. The sensors are deployed at depths of one to several km to reduce backgrounds from the cosmic-ray-generated muon flux. Underground detectors such as MACRO and Super-Kamiokande can also act as neutrino telescopes. These detectors, however, are not scalable to reach the sensitivities required for the detection of the predicted astrophysical neutrino fluxes.

When designing a neutrino telescope, one is faced with significant technical challenges in dealing with the conditions of the natural medium and the installation of large number of optical sensors and of electronics in the deep water and ice. Over the past decade, high-energy neutrino astronomy has evolved from exploratory experiments to

the first second-generation neutrino telescope, the IceCube Neutrino Observatory. The first attempts were made by the DUMAND project [30] in the deep Pacific Ocean near Hawaii and by the Baikal collaboration. In the 1990's, the first-generation neutrino experiments were designed and built, including the the Lake Baikal neutrino detector [31] and the Antarctic Muon And Neutrino Detection Array (AMANDA) [32]. The NT-200 configuration of the Lake Baikal experiment was completed in 1998. It consists of 192 optical modules (OMs), mounted on 8 vertical strings, each with 24 OMs arranged in pairs. The entire structure forms a cylinder 40 m in diameter and 72 m in height. Each OM contains a 37 cm diameter photomultiplier. The optical properties of the water are characterized by a long scattering length and a relatively short absorption length. For the installation and maintenance, the Baikal collaboration takes advantage of the cold winters in Siberia, which cause the surface of the lake to stay frozen over several months. Several additional strings have since been added to increase the effective volume for cascades.

AMANDA-II was completed in 2000 with 677 optical sensors on 19 strings (cables instrumented with 20–40 sensors each). The optical sensor strings form an instrumented cylinder 200 m in diameter and 450 m in height from 1450 m to 1900 m in the glacial ice at the South Pole. AMANDA-II played a role of a precursor to IceCube, establishing the feasibility of using the ice as medium for neutrino telescopes. The South Pole ice is characterized by a very large absorption length (100 m to 200 m) for blue and UV light and a relatively short scattering length of 20 to 40 m. AMANDA relied on minimum amount of electronics in the ice. Each optical sensor with a 20 cm diameter PMT (Hamamatsu R5912-2), operating at a gain of  $3 \times 10^8$ , was connected to electronics on the surface with an electrical cable, which supplied high voltage, and an optical fiber cable which transmitted the PMT signal and was used for calibration purposes. The photomultiplier signals were converted to infrared pulses by LEDs and then transmitted with almost no loss in bandwidth and amplitude to the surface counting house. One of the guiding principles was to keep the complexity in the ice at a minimum since the modules can never be accessed after they are frozen into the ice. This approach facilitated fast construction with small technological risk. Only one string was instrumented with additional digital data acquisition circuitry, serving as a prototype string for IceCube.

In the Mediterranean Sea NESTOR [33] deployed prototype detector modules off the coast of Greece at depths of up to 4 km. The design of the NESTOR array proposed the deployment of optical sensors with large hemispherical PMTs that are arranged in 12 hexagonal floors.

The ANTARES neutrino telescope [34] has been operating with five strings (lines) at an average depth of 2200 m off the shore of La Seyne sur mer, near Toulon, France, since 2008. Various studies of the optical properties of the sea water indicated a relatively large effective scattering length (>200 m) in the blue and shorter absorption length of about 60 m [35] and a factor of 2 smaller values in the UV region. The full ANTARES array will have 12 strings of optical sensors. Like in all water-based neutrino telescopes, the strings are anchored to the sea floor and form approximately vertical lines with the aid of buoys.

The European km3Net consortium has completed a design study and is planning a km-scale neutrino telescope in the Mediterranean Sea [36].

The IceCube Neutrino Observatory [37] is a km-scale detector which will be completed

in 2011. In 2009 IceCube has been operating with 59 strings and its construction is more than 65% complete. In its final configuration, IceCube will consist of 5160 optical sensors deployed on 86 strings covering a volume of 1 km<sup>3</sup>. A surface detector component, IceTop, forms an air shower array with 320 standard photomultipliers deployed in 160 IceTop tanks on the ice surface directly above the strings. Fig. 29.3 summarizes the status of IceCube, the largest neutrino telescope in operation in 2009.

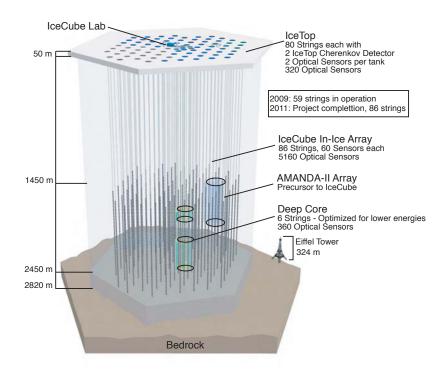


Figure 29.3: Schematic view of the IceCube Neutrino Observatory located at the South Pole.

The optical sensors are located at depths between 1450 m and 2450 m. Each sensor contains a 25 cm diameter hemispherical photomultiplier (Hamamatsu R7081-02) with electronics to operate the PMT, digitize and time-stamp the signals and provide calibration signals [38]. Each sensor, referred to as a Digital Optical Module (DOM), collects data autonomously, sending data packets to the surface. Each DOM consists of a 33.0 cm diameter pressurized glass sphere holding the PMT and associated electronics. The digitized signals are sent to a central data acquisition system, which forms triggered events. The 40-string configuration of IceCube (2008) detects about 10000 atmospheric neutrinos per year with energies above 500 GeV with some events above 100 TeV. The pulses from PMTs are processed with waveform recording electronics. The system has a large linear dynamic range and can resolve more than 300 photoelectrons/10 ns. Events are reconstructed when more than  $\sim 10$  PMTs detect at least one photoelectron each. Highest-energy events may consist of hits in more than 1000 PMTs.

The detector is constructed by drilling holes in the ice with a hot-water drill. The

strings are deployed into the water filled hole in less than 24 hours. The holes freeze back within 1-2 weeks. This method was pioneered and developed by AMANDA. Up to 19 strings have been installed in an Antarctic summer season, which lasts from November to mid-February when the South Pole Station is accessible.

The optical properties of water and ice have been investigated by collaborations mentioned in this section in great detail. Optical absorption and scattering length in the spectral region of  $300\,\mathrm{nm}{-}500\,\mathrm{nm}$  are relevant for typical bialkali photomultipliers. Deep sea water sites show a relatively large effective scattering length (>100 m) and a shorter absorption length ( $\sim50\,\mathrm{m}$ ) in the region around  $400\,\mathrm{nm}$ . South Pole ice has a larger absorption length ( $100-200\,\mathrm{m}$ ) and a shorter scattering length ( $20-40\,\mathrm{m}$ ). A large scattering length should result in good angular resolution. Radio- and biological activity in the medium contribute to the optical noise background. PMT noise rates in sea water are typically  $100\,\mathrm{kHz}$  and may spike significantly higher to beyond  $1\,\mathrm{MHz}$  due to biological activity. Noise rates in the deep South Pole ice are about  $0.5\,\mathrm{kHz}$  and are almost entirely due to the background created by the sensor itself.

Neutrino telescopes are sensitive to a very wide range of neutrino energies from about  $100\,\mathrm{GeV}$  to  $10^{18}\,\mathrm{eV}$ . The rise in the neutrino cross section with energy combined with the increasing range of muons results in a strong increase of the neutrino effective area of the detectors with energy as shown in Fig. 29.4. The trigger-level neutrino effective area of IceCube increases from  $0.5\,\mathrm{m}^2$  at  $1\,\mathrm{TeV}$  to  $300\,\mathrm{m}^2$  at  $1\,\mathrm{PeV}$ . The neutrino effective area is a useful quantity as it can be folded directly with the astrophysical or terrestrial neutrino flux to obtain event rates. A km scale detector like the 86-string IceCube configuration will detect  $\sim 5 \cdot 10^4$  atmospheric neutrinos in the energy range of 0.5 to 200 TeV.

The muon effective area approaches the geometric area if the energy of the muons is large enough so that they pass the entire detector. In case of IceCube, it increases from  $0.8\,\mathrm{km^2}$  to just about  $1.2\,\mathrm{km^2}$  over the same energy range from 1 TeV to 1 PeV [41]. The energy resolution of the muons is estimated from their energy loss within the detector and is typically  $\sim 0.3$  in  $\log_{10}(E)$  for energies above 10 TeV.

Figure 29.5 contains three different predictions for the flux of neutrinos associated with the still-enigmatic sources of the highest energy cosmic rays. Kilometer-scale neutrino detectors have the sensitivity to reveal generic cosmic-ray sources with an energy density in neutrinos comparable to their energy density in cosmic rays and in TeV  $\gamma$  rays. If one assumes that  $\gamma$  ray bursts are the sources of the cosmic rays, then protons (nuclei) and synchrotron photons coexist in the expanding fireball prior to it reaching transparency and producing the observed  $\gamma$  ray fluxes. The protons interact to produce pions that are the source of the neutrino flux shown in the figure. Also shown is a flux with an  $E^{-2}$  energy spectrum (Waxman-Bahcall [42]) that is obtained from the assumption that highest energy cosmic rays lose all their energy by interaction regardless of their origin. Finally, cosmic rays inevitably interact with relic photons leading to the absorption feature seen in the primary flux, the so-called GZK cutoff. In the interactions, pions are produced that decay into the cosmogenic neutrino flux [43] shown in the figure.

The atmospheric neutrino flux has been measured by AMANDA [44] These measurements are in reasonable agreement with predicted atmospheric neutrino spectra [45], [46], [47]. While the figure focuses on diffuse fluxes, it is clear that some



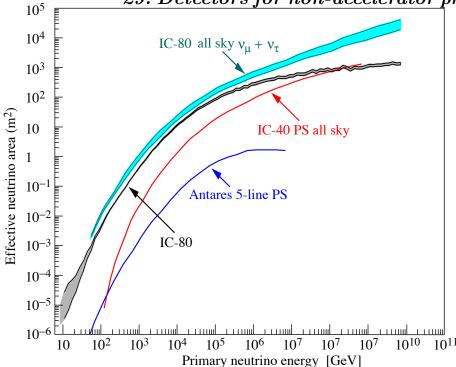


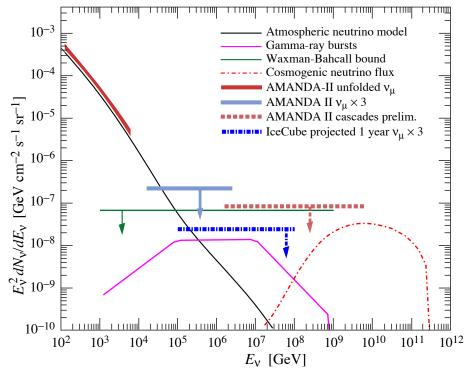
Figure 29.4: Neutrino effective area of large neutrino telescopes: The neutrino effective area is shown for several IceCube configurations and detection channels  $(\nu_{\mu}, \nu_{\tau}, 40, 80 \text{ strings})$  [39], and for the ANTARES 5-string configuration [40]. The effective area increases strongly with energy. The growth in effective area in the energy range above 1 PeV is dominated by events in the downgoing direction (all sky).

of these diffuse fluxes may be detected as point sources.

No detection of astrophysical neutrinos has been made yet. Two limits from AMANDA to such  $E^{-2}$  neutrino fluxes are shown: one is a diffuse muon neutrino flux limit [48], the other is a limit based on all flavor analysis of non-contained events in the PeV to EeV energy region [49]

Neutrino telescopes can detect neutrinos of all flavors. If the primary interaction is caused by a  $\nu_e$ ,  $\nu_\tau$  or a neutral current interaction within the volume of the detector, the resulting event signature is a short cascade of particles, as opposed to the track-like signature left by a muon produced in a  $\nu_{\mu}$  charged-current interaction within or outside the volume of the detector. The extension of the cascade shower in ice or water is small compared to the size of the detector, which effectively becomes a fully active calorimeter for these events. The energy resolution  $\Delta E/E$  can be well below 10%. Thus, in studying the astrophysical diffuse fluxes, the small atmospheric  $\nu_e$  background can be effectively suppressed by energy discrimination. The resulting sensitivity to diffuse astrophysical fluxes of all flavors can therefore be comparable to that of the muon channel.

The atmospheric neutrino background can be much more effectively suppressed in the search for point sources, where even in a km-scale detector only of order 10 atmospheric neutrino events are counted in a search bin. Unbinned search methods improve the sensitivity by using the point spread function and the energy information



**Figure 29.5:** Measured atmospheric neutrino fluxes above 100 GeV are shown together with a few generic models for astrophysical neutrinos and some limits. See full-color version on color pages at end of book.

in the significance analysis, see e.g., Ref. 50. The search for neutrinos from transient sources such as  $\gamma$ -ray bursts, where the typical duration of a burst is only 10 s, permits background reduction to  $< 10^{-2}$ , so that even 2 or 3 events are enough for discovery.

The sensitivity in the search for neutrinos from astrophysical point sources continues to increase rapidly as bigger detectors with better resolution improve. It should be added that neutrino telescopes can explore a variety of particle physics and astrophysics topics, such as cosmic-ray muons, cosmic-ray physics including cosmic-ray mass composition, atmospheric neutrinos of very high energies, indirect searches for dark matter and other searches for new physics. A detailed discussion is beyond the scope of this report. A very recent review can be found in Ref. 51.

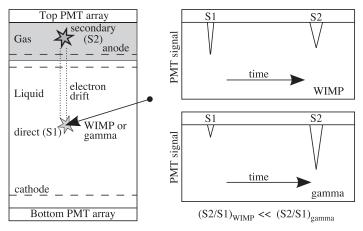
# **29.4.** Large time-projection chambers for rare event detection Written August 2009 by M. Heffner (LLNL).

The Time Projection Chamber (TPC) concept (Sec. 28.6.5) has been applied to many projects outside of particle physics and the accelerator-based experiments for which it was initially developed. TPCs in non-accelerator particle physics experiments are principally focused on rare event detection (e.g., neutrino and dark matter experiments) and the physics of these experiments can place dramatically different constraints on the TPC design (only extensions of the traditional TPCs are discussed here). The drift gas or liquid is usually the target or matter under observation and due to very low signal rates a TPC with the largest possible active mass is desired. The large mass complicates particle

tracking of short and sometimes very low-energy particles. Other special design issues include efficient light collection, background rejection, internal triggering, and optimal energy resolution.

Backgrounds from  $\gamma$  rays and neutrons are significant design issues in the construction of these TPCs. These are generally placed deep underground to shield them from cosmogenic particles and are surrounded with shielding to reduce radiation from the local surroundings. The construction materials are carefully screened for radiopurity, as they are in close contact with the active mass and can be a significant source of background. The TPC excels in reducing this internal background because the mass inside the field cage forms one monolithic volume from which fiducial cuts can be made ex post facto to isolate quiet drift mass. The liquid (gas) can be circulated and purified to a very high level. Self-shielding in these large mass systems can be significant and the effect improves with density and size. (See Sec. 29.6.)

The liquid-phase TPC can have a high density at low pressure that results in very good self-shielding and compact installation with lightweight containment. The down sides are the need for cryogenics, slower charge drift, tracks shorter than typical electron diffusion distances, lower-energy resolution (e.g., xenon) and limited charge readout options. Slower charge drift requires long electron lifetimes, placing strict limits on the oxygen and other impurities with high electron affinity. A significant variation of the liquid-phase TPC that improves the charge readout is the dual-phase TPC, where a gas phase layer is formed above the liquid into which the drifting electrons are extracted and amplified, typically with electroluminescence (i.e., secondary scintillation or proportional scintillation (Fig. 29.6)). The successful transfer of electrons across the phase boundary requires careful control of its position and setting up an appropriate electric field.



**Figure 29.6:** The configuration of a dual phase detector is shown on the left with the locations of where the primary and secondary light are generated. On the right is a schematic view of the signals of both an electron and nuclear interaction illustrating the discrimination power of this method. This figure is a slightly modified version of the figure in Ref. 61.

A high-pressure gas phase TPC has no cryogenics and density is easily optimized for the signal, but a large heavy-pressure vessel is required. Although self shielding is reduced, it can in some cases approach that of the liquid phase; in xenon at 50 atm the density is about half that of water or about 1/6 of liquid xenon. A significant feature of high pressure xenon gas is the energy resolution. Below a density of about  $0.5 \,\mathrm{g\,cm^{-3}}$  the intrinsic resolution is only a few times that of high purity germanium [62]. A neutrinoless double beta decay  $(0\nu2\beta)$  search with a TPC operated below this density limit could enjoy excellent energy resolution and maintain particle tracking for background rejection.

An observable interaction with the TPC results in a charged particle that travels in the drift matter, exciting and ionizing the atoms until the initial energy is converted into ionization, scintillation, or heat with relatively large fluctuations around the mean. Rare-event TPCs can be designed to detect scintillation light as well as charge to exploit the anti-correlation to improve energy resolution and/or signal to noise [63]. An electric drift field separates the electrons and positive ions from the ionization although the separation is not complete and some electrons are captured, exciting atoms and releasing more light than the primary excitation alone. The average partition between the scintillation and ionization can be manipulated to increase the ionization (at the expense of scintillation) by a number of methods, such as increasing the strength of the electric field up to saturation of the ionization yield, increasing the temperature to enhance the diffusion of the ionized electrons, and adding dopants such as triethylamine that can be photoionized by the scintillation photons releasing more ionization.

Scintillation light is typically collected with photomultiplier tubes (PMTs) and avalanche photo diodes (APDs) although any fast (compared to the ionization drift speed) light collector capable of detecting the typically UV photons, maintaining high radiopurity, and perhaps withstanding pressure would work. (CCDs are slow and therefore only record two dimensions, integrating over the time direction. Some of the 3D information can be recovered by a few PMTs.) In most cases, coating the optics or adding a wavelength shifter is required [63], although some work has been done to directly readout the 175 nm light from xenon with a silicon detector. In a typical cylindrical geometry, the light detectors are placed at the ends on an equipotential of the field cage simplifying the design, but limiting the collection efficiency. The field cage can be made of UV-reflective materials such as Teflon, to increase the light-collection efficiency.

Charge collection can be accomplished with proportional avalanche in the manner used in a traditional TPC (even in the liquid state), although the final signal suffers from rather large fluctuations caused by small fluctuations early in the avalanche that are amplified by the process. Inductive readout of passing charges and direct collection of the unamplified charge do not rely on an avalanche, and are effective where energy resolution is of paramount importance, but depend on low-noise amplifiers and relatively large signals (e.g., in  $0\nu2\beta$  decay).

Electroluminescence can be used to proportionally amplify the the drifted ionization, and it does not suffer the fluctuations of an avalanche or the small signals of direct collection. It works by setting up at the positive end of the drift volume parallel meshes or wire arrays with an electric field larger than the drift field, but less than the field needed for avalanche. In xenon, this is  $3-6 \text{ kV cm}^{-1} \text{ bar}^{-1}$  for good energy resolution. Eq. (29.4) shows the dependence of the yield (Y) in zenon in units of photons/(electron cm bar) as

a function of pressure (p) in units of bar and electric field (E) in units of kV/cm [58]:

$$Y/p = 140 E/p - 116 . (29.4)$$

The amplification can be adjusted with the length of the electroluminescence region, pressure and electric field.

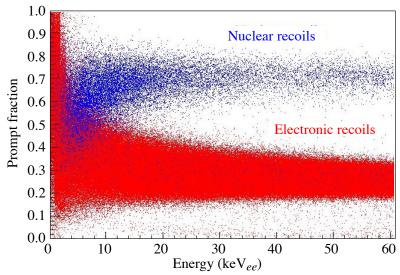


Figure 29.7: An example of pulse-shape discrimination of nuclear recoils and electrons in argon. The prompt fraction is a measure of the pulse shape that clearly separates the two interactions down to very low energy. Figure from Ref. 59.

Differentiation of nuclear and electron recoils at low-energy deposition is important as a means of background rejection. The nuclear recoil deposits a higher density of ionization than an electron recoil and this results in a higher geminate recombination resulting in a higher output of primary scintillation and lower charge. The ratio of scintillation to charge can be used to distinguish the two. In the case of an electroluminescence readout, this is done simply with the ratio of primary light to secondary light. Optically transparent grids with PMT or APD readout combine to make a elegant setup wherein the same array can measure the primary scintillation (S1), and the electroluminescence (S2) eliminating the necessity of two sets of readout detectors. Fig. 29.6 illustrates this method that works in the gas phase and in dual phase detectors. The time evolution of the primary light is also affected by the type of recoil that results from different populations of excimers in the singlet and triplet states [59]. This alone has resulted in excellent discrimination, particularly in gasses where the decay times are significantly different (see Table 29.2). An example of the discrimination is displayed in Fig. 29.7, where nuclear recoils and electrons can be identified down to 10's of keV<sub>ee</sub>, in argon. Nuclear recoils deposit less ionization than electrons at a given energy. For this reason, nuclear recoil energy is typically reported in equivalent electron energy loss, keV<sub>ee</sub>, when compared with electrons.

The composition of the drift matter is an important choice in TPC design, and the noble gasses are frequently selected as the bulk element in the mix (Table 29.2). The

noble gases have no electron affinity in the ground state, resulting in good free-electron lifetime and a good amount of scintillation that is useful for particle identification and  $t_0$  determination. In the case of argon and xenon, the low average energy to produce an ion pair results in good energy resolution. The noble gases are easily purified to a high level that, combined with moderate cost, enables the construction of large monolithic detectors. Of the noble gasses one isotope of xenon ( $^{136}$ Xe) is a candidate for ( $0\nu2\beta$ ).

**Table 29.2:** Properties of the noble gasses typically used in non-accelerator TPCs [56,57]. W is the average energy spent to produce one electron ion pair.

Element	W (eV)	-	length	decay time (fast/slow)	cost (\$/kg)
Helium	46.0	50	80	$10  \text{ns} / 1.6  \mu \text{s}$	\$5
Neon	36.6	30	77	$10\mathrm{ns}/3.9\mu\mathrm{s}$	\$60
Argon	26.4	40	128	$4\mathrm{ns}/1.6\mu\mathrm{s}$	\$2
Xenon	21.7	42	175	$4\mathrm{ns}/22\mathrm{ns}$	\$1000

The negative-ion TPC [60] uses an electronegative gas (e.g., CS<sub>2</sub>) either as the drift gas or as a dopant to the drift gas that captures the primary electrons, forming negative ions that drift in the electric field. Upon reaching the gas-gain region of the TPC, the electron is stripped from the ion in the high electric field, and the electron avalanches in the normal manner. The larger mass of the the negative ion keeps the kinetic energy of the ion thermal at high electric fields, and therefore such a TPC exhibits far less diffusion. The reduction of diffusion over large distance (time) enables detailed tracking of small tracks in a large volume without the benefit of a magnetic field to limit diffusion (which would be prohibitively expensive for a large volume). The trade-off is orders-of-magnitude slower drift, placing a limit on the trigger rate.

#### 29.5. Sub-Kelvin detectors

Written September 2009 by S. Golwala (Caltech).

Detectors operating below 1 K, also known as "low-temperature" or "cryogenic" detectors, use  $\lesssim$ meV quanta (phonons, superconducting quasiparticles) to provide better energy resolution than is typically available from conventional technologies. Such resolution can provide unique advantages to applications reliant on energy resolution, such as beta-decay experiments seeking to measure the  $\nu_e$  mass or searches for neutrinoless double-beta decay. In addition, the sub-Kelvin mode is combined with conventional (eV quanta) ionization or scintillation measurements to provide discrimination of nuclear recoils from electron recoils, critical for searches for WIMP dark matter and for coherent neutrino-nucleus scattering. We describe the techniques in generic fashion in the text and provide a list of experiments using these techniques in An excellent review [52] is available that covers this material and other applications of low-temperature detectors. The proceedings of the Low Temperature Detectors Workshops are also useful [53].

#### 29.5.1. Thermal Phonons:

The most basic kind of low-temperature detector employs a dielectric absorber coupled to a thermal bath via a weak link. A thermistor monitors the temperature of the absorber. The energy E deposited by a particle interaction causes a calorimetric temperature change by increasing the population of thermal phonons. The fundamental sensitivity is

$$\sigma_E^2 = \xi^2 k T [T C(T) + \beta E] , \qquad (29.5)$$

where C is the heat capacity of the detector, T is the temperature of operation, k is Boltzmann's constant, and  $\xi$  is a dimensionless factor of order unity that is precisely calculable from the nature of the thermal link and the non-thermodynamic noises (e,q,...)Johnson and/or readout noise). The first term is imposed by statistical fluctuations in the number of thermally excited phonons and on the energy in the absorber due to exchange with the thermal bath (see, e.g., Ref. 54 and references therein). The second term is due to statistical fluctuations in the number of phonons excited by the absorbed radiation. The factor  $\beta$  is also dimensionless and  $\mathcal{O}(1)$  and is also precisely calculable from the nature of the thermal link. The ratio of the second term to the first term is equal to the fractional absorber temperature change due to an energy deposition. Thus, the second term becomes appreciable when this fractional temperature change is appreciable, at which point nonlinear effects also come into play. The energy resolution typically acquires an additional energy dependence due to deviations from an ideal calorimetric model that cause position and/or energy dependence in the signal shape.

The rise time of response is limited by the internal thermal conductivity of the absorber. The decay time constant, describing the time required for the absorbed energy to flow out to the bath, is  $\tau = C/G$ , where G is the thermal conductance of the weak link. The above formula immediately suggests the use of crystalline dielectric absorbers and low temperatures because of the linear factor of T and because C for crystalline dielectrics drops as  $T^3$  for T well below the material's Debye temperature ( $\Theta_D$ , typically hundreds of K). Specifically, the Debye model indicates that a crystal consisting of N atoms has

$$C = \frac{12\pi^4}{5} N k \left(\frac{T}{\Theta_D}\right)^3 \tag{29.6}$$

which gives  $\sigma_E = 5.2 \, \xi$  eV for 1 kg of germanium operated at T = 10 mK. (For a detector of this size the 2nd term in Eq. (29.5) is negligible.) In practice, a number of factors degrade the above result by about an order of magnitude (thermistor heat capacity and power dissipation, readout noise, etc.), but the predicted energy resolution for such a large mass remains attractive.

**Table 29.3:** Selected experiments using sub-Kelvin detectors. The table is not exhaustive. Operation mode, detector and excitation sensor construction, baseline energy resolution, and energy resolution at a particular energy of interest  $E_0$  are given. We quote the energy and energy resolution for "total" phonon signal, where the total phonon signal includes both recoil energy and, where relevant, drift heating. Ionization and scintillation energies are normalized so that, for electron recoils, the energy in these channels is equal to the recoil energy ("electron-equivalent" energies). For scintillation energy, this is the electron-equivalent energy deposited in the target detector, not the energy received by the photon absorber. Approximate dates of operation are also given. Key to comments: "a-Si" and "a-Ge" = amorphous silicon or germanium layers in ionization electrodes; "H-a-Si" = hydrogenated amorphous silicon. "P-implanted" = phosphorous implantation. "Interdig." = interdigitated ionization electrode design that provides some z information from ionization signal asymmetry. "Surface-event discrimination" = ability to reject events near surfaces that suffer reduced ionization yield and can be misidentified as WIMPs. "w/phonons" = using athermal phonon pulse rising edge (faster for surface events). "w/ioniz. asym." = using the asymmetry of the ionization signal on electrodes on opposite faces of interdigitated-electrode detectors. "w/phonon asym." = using the asymmetry of the phonon signal detected on opposite detector faces. SuperCDMS energy resolutions have not been fully reported yet but are likely no worse than CDMS II.

Experiment	technique	substrate	e sensor	$\Delta E_{FWHM}$	$_{I}[\mathrm{keV}]$	$E_0$	comments
		+ mass		at $E = 0$	at $E_0$	$[\mathrm{keV}]$	
WIMP dark m	natter						
CDMS I	thermal	Ge	NTD Ge	0.3	0.7	12	nuclear recoil
(1996-2000)	phonon,	$0.16~\mathrm{kg}$	thermistor,				discrimination
	ionization		H-a-Si/Al	0.9	1.1	10.4	w/ionization
			electrode				yield
CDMS II	athermal	Ge	tungsten	0.4	2.4	20.7	CDMS I+
(2001-2008)	phonon,	$0.25~\mathrm{kg}$	TES,				surface-event
	ionization		a-Si/Al	0.7	0.8	10.4	discrimination
			electrode				w/phonons
SuperCDMS-	athermal	Ge	tungsten	0.4	N/A	N/A	CDMS II+
SNOLAB,	phonon,	$0.64~\mathrm{kg}$	TES,				surface-event
in develop-	ionization		a-Si/Al	0.7	N/A	N/A	${\rm discr.}\ {\rm w/ioniz.} +$
ment			interdig.				phonon $z$ asym.
EDELWEISS	I thermal	Ge	NTD Ge	2.3	2.3	24.2	nuclear recoil
(1996-2005)	phonon,	$0.32~\mathrm{kg}$	thermistor,				discrimination
	ionization		a-Si/Al	1.1	1.1	10.4	w/ionization
			a-Ge/Al				yield

Experiment	technique	substrate + mass	sensor	$\Delta E_{FWHM}$ at $E = 0$		$E_0$ [keV]	comments
EDELWEISS II	thermal	Ge	NTD Ge	3.6	3.6	38.0	EDELWEISS I
(2006-)	phonon,	$0.4~\mathrm{kg}$	thermistor,	5.0	5.0	30.0	+surface-event
(2000-)	ionization	0.4 kg	a-Si/Al	1.0	1.0	10.4	discrimination
	IOIIIZatiOII		interdig.	1.0	1.0	10.4	w/ioniz. asym.
CRESST I	athermal	$Al_2O_3$	tungsten	0.20	0.24	1.5	no NR discr.
(1996-2002)	phonon	0.26  kg	SPT	0.20	0.24	1.0	no ivit disci.
CRESST II	athermal	$\frac{0.20 \text{ kg}}{\text{CaWO}_4}$	tungsten	0.3	0.3	8.1	NR discr.
(2003-)	phonon,	0.3  kg	SPT	0.3	0.5	0.1	w/scint.
(2005-)	scint.	$(\text{ZnWO}_4)$		1.0	3.5	10	yield
	SCIII.	(ZIIWO4)	photon abs.)	1.0	5.5	10	yieid
$\alpha$ decay			photon abs.)				
ROSEBUD	athermal	BGO	NTD Ge	6	5500	18	$\alpha$ discr.
(1996–)	phonon,	46 g	thermistor	U	9900	10	w/scint. yield,
,	scintillation	40 g	(target &	N/A	N/A	N/A	first detection of
	sciiiuiiiauioii		photon abs.)	IV/A	$\Pi/\Pi$	$\Pi/\Pi$	$^{209}$ Bi $\alpha$ decay
$\beta$ decay			photon abs.)				Di α decay
Oxford <sup>63</sup> Ni	athermal	InSb	Al STJ	1.24	1.24	67	
			ALSIJ	1.24	1.24	07	
$\frac{(1994-1995)}{MARE}$	phonon thermal	3.3 g	D :l4	NT / A	0.022	2.6	
		0 1	P-implanted	N/A	0.033	2.6	
(2009-)	phonon	0.5 mg	Si thermistor	•			
$0\nu\beta\beta$ decay	.1 .	T 0 1	NEED C	DT / A		2525	
CUORE	thermal	$TeO_2^*$	NTD Ge	N/A	7	2527	
(2003-)	phonon	0.75  kg	thermistor				

<sup>\*</sup> The CUORE energy resolution is worse than can be obtained with Ge diode detectors.

Neutron-transmutation-doped (NTD) germanium and implanted silicon semiconductors are used for thermistors. Conduction is via phonon-assisted hopping between impurity sites, yielding an exponentially decreasing resistance as a function of temperature, R(T), with negative slope, dR/dT. Attachment to the absorber is usually by eutectic bonding or epoxy or by direct implantation into the absorber. Another type of temperature sensor is the superconducting phase-transition thermometers (SPT) or transition-edge sensor (TES). A SPT or TES is a superconducting film operated in the transition from superconductive to normal resistance at the transition temperature,  $T_c$ , where its resistance is a strong function of temperature with positive dR/dT. This can provide strong electrothermal negative feedback, which improves linearity, speeds up response, and mitigates variations in  $T_c$  among multiple TESs on the same absorber. Nb<sub>x</sub>Si<sub>1-x</sub> is another thermistor material that ranges between the semiconducting and superconducting regimes as a function of the stoichiometry (defined by x). SPTs/TESs and Nb $_x$ Si $_{1-x}$  thermistors are frequently deposited directly onto the absorber by sputtering or evaporation.

The readout method depends on the type of thermometer used. Doped semiconductors typically have high impedances and are well matched to low-noise JFET-based readout while SPTs/TESs are low-impedance devices requiring SQUID amplifiers.

#### 29.5.2. Athermal Phonons and Superconducting Quasiparticles:

The advantage of thermal phonons is also a disadvantage: energy resolution degrades as  $\sqrt{M}$  where M is the detector mass. This motivates the use of athermal phonons. There are three steps in the development of the phonon signal. The recoiling particle deposits energy along its track, with the majority going directly into phonons. (A minority of the energy goes directly into scintillation and ionization. Energy deposited in ionization is recovered when the carriers recombine.) The recoil and bandgap energy scales (keV and higher, and eV, respectively) are much larger than phonon energies (meV), so the full energy spectrum of phonons is populated, with phase space favoring the most energetic phonons. However, these initial energetic phonons do not propagate because of isotopic scattering (scattering due to variations in lattice ion atomic mass, rate  $\propto \nu^4$  where  $\nu$  is the phonon frequency) and anharmonic decay (scattering wherein a single phonon splits into two phonons, rate  $\propto \nu^5$ ). Anharmonic decay downshifts the phonon spectrum, which increases the phonon mean free path, so that eventually phonons can propagate the characteristic dimension of the detector. These phonons travel quasiballistically, preserve information about the position of the parent interaction, and are not affected by an increase in detector mass (modulo the concomitant larger distance to the surface where they can be sensed). Anharmonic decay continues until a thermal distribution is reached ( $\mu eV$  at mK temperatures), which is exhibited as a thermal increase in the temperature of the detector. If one can detect the athermal phonons at the crystal surface, keep the density of such sensors fixed as the detector surface area increases with mass, and the crystals are pure enough that the athermal phonons can propagate to the surface prior to thermalization, then an increase in detector mass need not degrade energy resolution, and can in fact improve position reconstruction. Sensors for athermal phonons are similar to those for superconducting quasiparticles described below.

Another mode is detection of superconducting quasiparticles in superconducting crystals. Energy absorption breaks superconducting Cooper pairs and yields quasiparticles, electron-like excitations that can diffuse through the material and that recombine after the quasiparticle lifetime. In crystals with very large mean free path against scattering, the diffusion length (distance traveled in a quasiparticle lifetime) is large enough (mm to cm) that the quasiparticles reach the surface and can be detected, usually in a superconducting tunnel junction (STJ) or TES/SPT.

A similar technique is applied to detect athermal phonons. Athermal phonons reaching a superconducting film on the detector surface generate quasiparticles as above. Such thin films have diffusion lengths much shorter than for superconducting crystalline substrates, only of order 100  $\mu$ m to 1 mm. Thus, the superconducting film must be segmented on this length scale and have a quasiparticle sensor for each segment. The sensors may, however, be connected in series or parallel in large groups to reduce readout channel count.

The readout for athermal phonon and quasiparticle sensing depends on the type of quasiparticle detector. Tunnel junctions match well to JFET-based readouts, while TESs/SPTs use SQUID amplifiers.

#### 29.5.3. Ionization and Scintillation:

While ionization and scintillation detectors usually operate at much higher temperatures, ionization and scintillation can be measured at low temperature and can be combined with a "sub-Kelvin" technique to discriminate nuclear recoils from background interactions producing electron recoils, which is critical for WIMP searches and coherent neutrino-nucleus scattering. With ionization, such techniques are based on Lindhard theory [55], which predicts substantially reduced ionization yield for nuclear recoils relative to electron recoils. For scintillation, application of Birks' law (Sec. 28.3.0) yields a similar prediction. (The reduced ionization or scintillation yield for nuclear recoils is frequently referred to as "quenching".)

Specifically, consider the example of measuring thermal phonons and ionization. All the deposited energy eventually appears in the thermal phonon channel, regardless of recoil type (modulo some loss to permanent crystal defect creation). Thus, the ionization vield—the number of charge pairs detected per unit detected energy in phonons—provides a means to discriminate nuclear recoils from electron recoils. Similar discrimination is observed with athermal phonons and ionization and with phonons and scintillation.

In semiconducting materials of sufficient purity—germanium and silicon—electron-hole pairs created by recoiling particles can be drifted to surface electrodes by applying an electric field, similar to how this is done at 77 K in high-purity germanium photon spectrometers (Sec. 28.7). There are three important differences, however, that result in the use of low fields—of order 1 V/cm—instead of the hundreds to thousands of V/cm used in 77 K detectors. First, high fields are required at 77 K to deplete the active volume of thermally excited mobile carriers. At low temperature and in crystals of purity high enough to drift ionization with negligible trapping, the population of thermally excited carriers is exponentially suppressed due to the low ambient thermal energy. Second, high fields in 77K operation prevent trapping of drifting carriers on ionized impurities and crystalline defects and/or overcome space charge effects. At low temperatures, ionized impurities and space charge can be neutralized (using free charge created by photons from LEDs or radioactive sources) and remain in this state for minutes to hours. This reduces trapping exponentially and allows low-field drift. Third, a high field in a sub-Kelvin detector would result in a massive phonon signal from the drifting carriers, fully correlated with the ionization signal and thereby eliminating nuclear recoil discrimination. Readout of the charge signal is typically done with a conventional JFET-based transimpedance amplifier.

A number of materials that scintillate on their own (i.e., without doping) continue to do so at low temperatures, including BaF<sub>2</sub>, BGO, CaWO<sub>4</sub>, ZnWO<sub>4</sub>, PbWO<sub>4</sub>, and other tungstates and molybdates. In and of itself, there is little advantage to a low-temperature scintillation measurement because detecting the scintillation is nontrivial, the quanta are large, and the detection efficiency is usually poor. Such techniques are pursued only in order to obtain nuclear-recoil discrimination. Conventional photodetectors do not operate at such low temperatures, so one typically detects the scintillation photons in an adjacent

low-temperature detector that is thermally disconnected from but resides in an optically reflective cavity with the target detector.

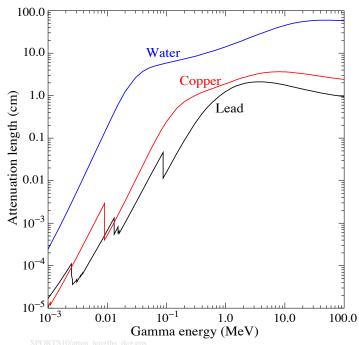
#### 29.6. Low-radioactivity background techniques

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The physics reach of low-energy rare event searches e.g. for dark matter, neutrino oscillations, or double beta decay is often limited by background caused by radioactivity. Depending on the chosen detector design, the separation of the physics signal from this unwanted interference can be achieved on an event-by-event basis by active event tagging, utilizing some unique event feature, or by reducing the radiation background by appropriate shielding and material selection. In both cases, the background rate is proportional to the flux of background-creating radiation. Its reduction is thus essential for realizing the full physics potential of the experiment. In this context, "low energy" may be defined as the regime of natural, anthropogenic, or cosmogenic radioactivity, all at energies up to about 10 MeV. Following the classification of [64], sources of background may be categorized into the following classes:

- 1. environmental radioactivity,
- 2. radioimpurities in detector or shielding components,
- 3. radon and its progeny,
- 4. cosmic rays,
- 5. neutrons from natural fission,  $(\alpha, n)$  reactions and from cosmic-ray muon spallation and capture.

**Defining the problem**: The application defines the requirements. Background 29.6.1. goals can be as demanding as a few low-energy events per year in a ton-size detector. The strength of the physics signal to be measured can often be estimated theoretically or from limits derived by earlier experiments. The experiments are then designed for the desired signal-to-background ratio. This requires finding the right balance between clarity of measurement, ease of construction, and budget. In a practical sense, it is important to formulate background goals that are sufficient for the task at hand but doable, in a finite time. It is now standard practice to use a detector simulation to translate the background requirements into limits for the radioactivity content of various detector components, requirements for the radiation shielding, and allowable cosmic-ray flux. This strategy allows identifying the most critical components early and the allocation of analysis and development resources accordingly. The CERN code GEANT4 is a widely used tool for this task. It contains sufficient nuclear physics to allow accurate background estimations. Custom-written event generators, modeling particle correlations in complex decay schemes, are used as well.



**Figure 29.8:**  $\gamma$ -ray attenuation lengths in some common shielding materials. The mass attenuation data has been taken from the NIST data base XCOM; see "Atomic Nuclear Properties" at pdg.lbl.gov.

**Environmental radioactivity**: The long-lived natural radioisotopes <sup>40</sup>K, <sup>232</sup>Th, and <sup>238</sup>U have average abundances of 2.4, 9.6 and 2.7 ppm in the earth's crust, with large local variations. In most applications,  $\gamma$  radiation emitted by natural radioactivity constitutes the dominant contribution to the local radiation field. Typical low-background applications require levels of natural radioactivity on the order of ppb or ppt in the detector components. Passive or active shielding is used to suppress external  $\gamma$  radiation down to that level. Fig. 29.8 shows the energy-dependent attenuation length  $\lambda(E_{\gamma})$  as a function of  $\gamma$  ray energy  $E_{\gamma}$  for three common shielding materials (water, copper, lead). The thickness  $\ell$  required to reduce the external flux by a factor f>1 is estimated assuming exponential damping:

$$\ell = \lambda(E_{\gamma}) \cdot \ln f . \tag{29.7}$$

At 100 keV, a typical energy scale for dark matter searches (or 2.615 MeV, for a typical double-beta decay experiment), attenuation by a factor  $f = 10^5$  requires 67(269) cm of H<sub>2</sub>O, 2.8(34) cm of Cu, or 0.18(23) cm of Pb. Such estimates allows for an order-of-magnitude estimate of the experiment dimensions. A precise estimation of the leakage of external  $\gamma$  radiation, including scattering and the effect of energy cuts, requires Monte Carlo simulations and determination of the radioactivity present in the laboratory. Detailed modeling of the  $\gamma$  flux in a large laboratory, or inside hermetic shielding, needs to cope with very small detector-hit efficiencies. It is often advantageous to calculate solid angle and mass attenuation separately. This approach reduces the computation time required for a statistically meaningful number of detector hits to manageable levels.

Because of its low density, water has relatively long attenuation lengths, resulting in rather voluminous shields. However, because water can be obtained relatively cheaply in large amounts, it has become the medium of choice for most large detectors. Water purification technology is effective and commercially available, an important consideration in view of the intrinsic radioactivity of the shield, to be discussed below. High-purity water, instrumented with photo multiplier tubes, can further serve as a Cherenkov cosmic-ray veto detector. Liquefied gases are being used for shielding as well.

29.6.3. Radioimpurities in detector or shielding components: After suppressing the effect of external radioactivity, radioactive impurities contained in the detector components or attached to its surfaces become important. Any material is radioactive at some level. The activity can be natural, cosmogenic, or man-made. The determination of the activity content of a specific material or component requires case-by-case analysis, and is almost never obtainable from the manufacturer. However, there are some general rules than can be used to guide the pre-selection. For detectors designed to look for electrons (for example in double-beta decay searches or neutrino detection via inverse beta decay or elastic scattering), this is the principal source of background. For devices detecting nuclear recoils (for example in dark matter searches), this is often of secondary importance as ionization signals can be actively suppressed on an event-by-event basis.

For natural radioactivity, a rule of thumb is that synthetic substances are cleaner than natural materials. Typically, more highly processed materials have lower activity content. Substances with smaller chemical reactivity tend to be cleaner. The refining process tends to remove K, Th, and U. For example, Al is often found to contain considerable amounts of Th and U, while electrolytic Cu is very low in primordial activities. Plastics or liquid hydrocarbons, having been refined by distillation, are often quite radiopure. Tabulated radioactivity screening results for a wide range of materials can be found in Refs. 65 and 66.

The long-lived  $^{238}$ U daughter  $^{210}$ Pb ( $T_{1/2}$ =22.3 y) is found in all shielding lead, and is a background concern at low energies. This is due to the relatively high endpoint energy ( $Q_{\beta}$ =1.162 MeV) of its beta-unstable daughter  $^{210}$ Bi. Lead parts made from selected low-U ores have specific activities of about 5–30 mBq/kg. For lower activity, ancient lead (for example from Roman ships) has been used. Because the ore processing and lead refining removed most of the  $^{238}$ U, the  $^{210}$ Pb decayed during the long waiting time to the level supported by the U-content of the refined lead. Lining the lead with copper to range out the low-energy radiation is another remedy. However, intermediate Z materials are an activation risk when handled above ground, as will be discussed below.  $^{210}$ Pb is also found in solders.

The fission product  $^{137}\mathrm{Cs}$  can be found attached to the surface of materials. The radioactive noble gas  $^{85}\mathrm{Kr}$ , released into the atmosphere by nuclear reactors and nuclear fuel re-processing, is also important, especially due to its high solubility in organic materials. Post-World War II steel typically contains a few tens of mBq/kg of  $^{60}\mathrm{Co}$ .

Surface activity is not a material property but is added during manufacturing and handling. It can often be effectively removed by etching. Installation of low-background detectors is often done in clean rooms to avoid this contamination. Surface contamination can be quantified by means of wipe-testing with acid or alcohol wetted Whatman 41

filters. The paper filters are ashed after wiping and the residue is digested in acid. Subsequent analysis by means of mass spectroscopy or neutron activation analysis is capable of detecting less than 1 pg/cm<sup>2</sup> of Th and U. The most demanding low-rate experiments require screening of all components, which can be a time consuming task. The requirements for activity characterization depend on the experiment and the location and amount of a particular component. Monte Carlo simulations are used to quantify these requirements. Activities of the order  $\mu Bq/kg$  or even below may need to be detected in the process. At such level of sensitivity, the characterization becomes a challenging problem in itself. Low-background  $\alpha$ ,  $\beta$ , and  $\gamma$  ray counting, mass spectroscopy, and neutron activation analysis are used.

**29.6.4.** Radon and its progeny: The noble gas  $^{222}$ Rn, a pure  $\alpha$ -emitter, is a  $^{238}$ U decay product. Due to its half-life of 3.8 d it is released by surface soil and is found in the atmosphere everywhere. <sup>220</sup>Rn (<sup>232</sup>Th decay product) is unimportant because of its short half-life. <sup>222</sup>Rn activity in air ranges from 10 to 100 mBq/L outdoors and 100 to thousands of mBq/L indoors. The natural radon concentration depends on the weather and shows daily and seasonal variations. Radon levels are lowest above the oceans. For electron detectors, it is not the Rn itself that creates background, but its progeny <sup>214</sup>Pb,  $^{214}$ Bi,  $^{210}$ Bi, which emit energetic beta and  $\gamma$  radiation. Thus, not only the detector itself has to be separated from contact with air, but also internal voids in the shield which contain air can be a background concern. Radon is quite soluble in water and even more so in organic solvents. For large liquid scintillation detectors, radon mobility due to convection and diffusion is a concern. To define a scale: typical double-beta-decay searches are disturbed by a  $\mu$ Bq (or 1/11.6 d) activity of  $^{222}$ Rn contained in the detector medium. This corresponds to a steady-state population of 0.5 atoms in 50  $\mu$ L of air (assuming 20 mBq/L of radon in the air). The criteria for leak tightness are thus quite demanding. The decay of Rn itself is a concern for some recoil type detectors, as nuclear recoil energies in  $\alpha$  decays are substantial (76 keV in case of  $^{222}$ Rn).

Low-activity detectors are often kept sealed from the air and continuously flushed with boil-off nitrogen, which contains only small amounts of Rn. For the most demanding applications, the nitrogen is purified by multiple distillations. Then only the Rn outgassing of the piping (due to its U internal content) determines the radon concentration. Radon diffuses readily through thin plastic barriers. If the detector is to be isolated from its environment by means of a membrane, the right choice of material is important [67].

If energies below 1 MeV are to be measured, additional care has to be taken to avoid plate-out of the long-lived radon daughter <sup>210</sup>Pb on the surfaces. This can be reduced by keeping the parts under a protective low-radon cover gas.

Radon can be detected even at the level of few atoms with solid state, scintillation, or gas detectors by exploiting the fast decay sequences of <sup>214</sup>Bi and <sup>214</sup>Po. The efficiency of these devices is sometimes boosted by electrostatic collection of charged radon into a small detector.

**29.6.5.** Cosmic rays: Cosmic radiation, discussed in detail in Chapter 24, is a source of background for just about any non-accelerator experiment. Primary cosmic rays are about 90% protons, 9% alpha particles, and the rest heavier nuclei (Fig. 24.1). They are totally attenuated within the first the first few hg/cm<sup>2</sup> of atmospheric thickness. At sea level secondary particles ( $\pi^{\pm}: p: e^{\pm}: n: \mu^{\pm}$ ) are observed with relative intensities 1:13:340:480:1420 (Ref. 68; also see Fig. 24.3).

All but the muon and the neutron components are readily absorbed by overburden such as building ceilings and passive shielding. Only if there is very little overburden ( $\lesssim 1~{\rm g/cm^2}$  or so) do pions and protons need to be considered when estimating the production rate of cosmogenic radioactivity.

Sensitive experiments are thus operated deep underground where essentially only muons penetrate. As shown in Fig. 24.6, the muon intensity falls off rapidly with depth. Active detection systems capable of tagging events correlated in time with cosmic-ray activity are needed, depending on the overburden. Such experiments are described in Sec. 29.3.1.

The muonic background is only related to low-radioactivity techniques insofar as photonuclear interactions of muons can produce long-lived radioactivity. This happens at any depth, and it constitutes an essentially irreducible background.

Cosmogenic activation of components brought from the surface is also an issue. Proper management of parts and materials above ground during machining and detector assembly minimizes the accumulation of long-lived activity. Cosmogenic activation is most important for intermediate Z materials such as Cu and Fe. For the most demanding applications, metals are stored and transported under sufficient shielding to stop the hadronic component of the cosmic rays. Parts, e.g., the nickel tubes for the  $^3$ He counters in SNO, can be stored underground for long periods before being used. Underground machine shops are also sometimes used to limit the duration of exposure at the surface.

- **29.6.6.** Neutrons: Neutrons contribute to the background of low-energy experiments in different ways: directly through nuclear recoil in the detector medium, and indirectly, through the production of radio nuclides inside the detector and its components. The latter mechanism allows even remote materials to contribute to the background by means of penetrating  $\gamma$  radiation, since inelastic scattering of fast neutrons or radiative capture of slow neutrons can result in the emission of  $\gamma$  radiation. Neutrons are thus an important source of low-energy background. They are produced in different ways:
  - 1. At the earth's surface neutrons are the most intense cosmic-ray secondary other than muons:
  - 2. Energetic tertiary neutrons are produced by cosmic-ray muons in nuclear spallation reactions with the detector and laboratory walls:
  - 3. In high Z materials, often used in radiation shields, nuclear capture of negative muons results in emission of neutrons;
  - 4. Natural radioactivity has a neutron component through spontaneous fission and  $(\alpha, n)$ -reactions.

A calculation with the hadronic simulation code FLUKA, using the known energy distribution of secondary neutrons at the earth's surface [69], yields a mass attenuation of 1.5 hg/cm<sup>2</sup> in concrete for secondary neutrons. If energy-dependent neutron-capture

cross sections are known, then such calculations can be used to obtain the production rate of radio nuclides.

At an overburden of only few meters, water equivalent neutron production by muons becomes the dominant mechanism. Neutron production rates are high in high-Z shielding materials. A high-Z radiation shield, discussed earlier as being effective in reducing background due to external radioactivity, thus acts as a source for cosmogenic tertiary high-energy neutrons. Depending on the overburden and the radioactivity content of the laboratory, there is an optimal shielding thickness. Water shields, although bulky, are an attractive alternative due to their low neutron production yield and self-shielding.

Neutron shields made from plastic or water are commonly used to reduce the neutron flux. The shield is sometimes doped with a substance having a high thermal neutron capture cross section (such as boron) to absorb thermal neutrons more quickly. The hydrogen serves as a target for elastic scattering, and is effective in reducing the neutron energy. Neutrons from natural radioactivity have relatively low energies and can be effectively suppressed by a neutron shield. Such a neutron shield should be inside the lead to be effective for tertiary neutrons. However, this is rarely done as it increases the neutron production target (in form of the passive shield), and costs increase as the cube of the dimensions. An active cosmic-ray veto is an effective solution, correlating a neutron with its parent muon. This solution works best if the veto system is as far removed from the detector as feasible (outside the radiation shield) to correlate as many background-producing muons with neutrons as possible. The vetoed time after a muon hit needs to be sufficiently long to assure neutron thermalization. The average thermalization and capture time in lead is about 900  $\mu$ s [64]. The veto-induced deadtime, and hence muon hit rate on the veto detector, is the limiting factor for the physical size of the veto system (besides the cost). The background caused by neutron-induced radioactivity with live times exceeding the veto time cannot be addressed in this way. Moving the detector deep underground, and thus reducing the muon flux, is the only technique addressing all sources of neutron background.

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