

## $D^0$ – $\bar{D}^0$ MIXING

Revised January 2006 by D. Asner (Carleton University)

Standard Model contributions to  $D^0$ – $\bar{D}^0$  mixing are strongly suppressed by CKM and GIM factors. Thus the observation of  $D^0$ – $\bar{D}^0$  mixing might be evidence for physics beyond the Standard Model. See Burdman and Shipsey [1] for a review of  $D^0$ – $\bar{D}^0$  mixing, Ref. [2] for a compilation of mixing predictions, and Ref. [3] for later predictions.

**Formalism:** The time evolution of the  $D^0$ – $\bar{D}^0$  system is described by the Schrödinger equation

$$i\frac{\partial}{\partial t}\begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix} = \left(\mathbf{M} - \frac{i}{2}\mathbf{\Gamma}\right)\begin{pmatrix} D^0(t) \\ \bar{D}^0(t) \end{pmatrix}, \quad (1)$$

where the  $\mathbf{M}$  and  $\mathbf{\Gamma}$  matrices are Hermitian, and  $CPT$  invariance requires that  $M_{11} = M_{22} \equiv M$  and  $\Gamma_{11} = \Gamma_{22} \equiv \Gamma$ . The off-diagonal elements of these matrices describe the dispersive and absorptive parts of  $D^0$ – $\bar{D}^0$  mixing.

The two eigenstates  $D_1$  and  $D_2$  of the effective Hamiltonian matrix  $(\mathbf{M} - \frac{i}{2}\mathbf{\Gamma})$  are given by

$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle. \quad (2)$$

The corresponding eigenvalues are

$$\lambda_{1,2} \equiv m_{1,2} - \frac{i}{2}\Gamma_{1,2} = \left(M - \frac{i}{2}\Gamma\right) \pm \frac{q}{p}\left(M_{12} - \frac{i}{2}\Gamma_{12}\right), \quad (3)$$

where  $m_1$  and  $\Gamma_1$  are the mass and width of the  $D_1$ , *etc.*, and

$$\left|\frac{q}{p}\right|^2 = \frac{M_{12}^* - \frac{i}{2}\Gamma_{12}^*}{M_{12} - \frac{i}{2}\Gamma_{12}}. \quad (4)$$

We define reduced mixing amplitudes  $x$  and  $y$  by

$$x \equiv 2M_{12}/\Gamma = (m_1 - m_2)/\Gamma = \Delta m/\Gamma \quad (5)$$

and

$$y \equiv \Gamma_{12}/\Gamma = (\Gamma_1 - \Gamma_2)/2\Gamma = \Delta\Gamma/2\Gamma, \quad (6)$$

where  $\Gamma \equiv (\Gamma_1 + \Gamma_2)/2$ . The mixing rate,  $R_M$ , is approximately  $(x^2 + y^2)/2$ . In Eq. (5) and Eq. (6), the middle relation holds

only in the limit of  $CP$  conservation, in which case the subscripts 1 and 2 denote the  $CP$ -even and  $CP$ -odd eigenstates.

The parameters  $x$  and  $y$  are measured in several ways. The most precise constraints are obtained using the time-dependence of  $D$  decays. Since  $D^0$ - $\bar{D}^0$  mixing is a small effect, the identification tag of the initial particle as a  $D^0$  or a  $\bar{D}^0$  must be extremely accurate. The usual tag is the charge of the distinctive slow pion in the decay sequence  $D^{*+} \rightarrow D^0 \pi^+$  or  $D^{*-} \rightarrow \bar{D}^0 \pi^-$ . In current experiments, the probability of mistagging is about 0.1%. Another tag of comparable accuracy is identification of one of the  $D$ 's produced from  $\psi(3770) \rightarrow D^0 \bar{D}^0$ . Time-dependent analyses are not possible at symmetric charm threshold facilities (the  $D^0$  and  $\bar{D}^0$  do not travel far enough). However, the quantum coherent  $D^0 \bar{D}^0$   $C = -1$  state provides time-integrated sensitivity [4, 5].

**Time-Dependent Analyses:** We extend the formalism of this *Review's* note on “ $B^0$ - $\bar{B}^0$  Mixing” [6]. In addition to the “right-sign” instantaneous decay amplitudes  $\bar{A}_f \equiv \langle f|H|\bar{D}^0\rangle$  and  $A_{\bar{f}} \equiv \langle \bar{f}|H|D^0\rangle$  for  $CP$  conjugate final states  $f$  and  $\bar{f}$ , we include the “wrong-sign” amplitudes  $\bar{A}_{\bar{f}} \equiv \langle \bar{f}|H|\bar{D}^0\rangle$  and  $A_f \equiv \langle f|H|D^0\rangle$ .

It is usual to normalize the wrong-sign decay distributions to the integrated rate of right-sign decays and to express time in units of the precisely measured  $D^0$  mean lifetime,  $\bar{\tau}_{D^0} = 1/\Gamma = 2/(\Gamma_1 + \Gamma_2)$ . Starting from a pure  $|D^0\rangle$  or  $|\bar{D}^0\rangle$  state at  $t = 0$ , the time-dependent rates of production of the wrong-sign final states relative to the integrated right-sign states are then

$$r(t) = \frac{|\langle f|H|D^0(t)\rangle|^2}{|\bar{A}_f|^2} = \left| \frac{q}{p} \right|^2 \left| g_+(t) \chi_f^{-1} + g_-(t) \right|^2 \quad (7)$$

and

$$\bar{r}(t) = \frac{|\langle \bar{f}|H|\bar{D}^0(t)\rangle|^2}{|A_{\bar{f}}|^2} = \left| \frac{p}{q} \right|^2 \left| g_+(t) \chi_{\bar{f}} + g_-(t) \right|^2, \quad (8)$$

where

$$\chi_f \equiv q\bar{A}_f/pA_f, \quad \chi_{\bar{f}} \equiv q\bar{A}_{\bar{f}}/pA_{\bar{f}}, \quad (9)$$

and

$$g_{\pm}(t) = \frac{1}{2} (e^{-iz_1 t} \pm e^{-iz_2 t}) , \quad z_{1,2} = \frac{\lambda_{1,2}}{\Gamma} . \quad (10)$$

Note that a change in the convention for the relative phase of  $D^0$  and  $\bar{D}^0$  would cancel between  $q/p$  and  $\bar{A}_f/A_f$  and leave  $\chi_f$  invariant.

We expand  $r(t)$  and  $\bar{r}(t)$  to second order in time for modes where the ratio of decay amplitudes  $R_D = |A_f/\bar{A}_f|^2$  is very small.

**Semileptonic decays:** In semileptonic  $D$  decays,  $A_f = \bar{A}_{\bar{f}} = 0$  in the Standard Model. Then in the limit of weak mixing, where  $|ix + y| \ll 1$ ,  $r(t)$  is given by

$$r(t) = |g_-(t)|^2 \left| \frac{q}{p} \right|^2 \approx \frac{e^{-t}}{4} (x^2 + y^2) t^2 \left| \frac{q}{p} \right|^2 . \quad (11)$$

For  $\bar{r}(t)$  one replaces  $q/p$  here with  $p/q$ . In the limit of  $CP$  conservation,  $r(t) = \bar{r}(t)$ , and the time-integrated mixing rate relative to the time-integrated right-sign decay rate is

$$R_M = \int_0^{\infty} r(t) dt = \left| \frac{q}{p} \right|^2 \frac{x^2 + y^2}{2 + x^2 - y^2} \approx \frac{1}{2} (x^2 + y^2) . \quad (12)$$

Table 1 summarizes results from semileptonic decays.

**Table 1:** Results for  $R_M$  in  $D^0$  semileptonic decays.

Year	Exper.	Final state(s)	$R_M$ (90 (95)% C.L.)
2005	Belle <sup>a</sup>	$K^{(*)+} e^{-} \bar{\nu}_e$	$< 1.0 \times 10^{-3}$
2005	CLEO <sup>b</sup>	$K^{(*)+} e^{-} \bar{\nu}_e$	$< 7.8 \times 10^{-3}$
2004	BABAR <sup>c</sup>	$K^{(*)+} e^{-} \bar{\nu}_e$	$< 4.2(4.6) \times 10^{-3}$
2002	FOCUS [7]	$K^+ \mu^{-} \bar{\nu}_\mu$	$< 1.01(1.31) \times 10^{-3}$
1996	E791 <sup>d</sup>	$K^+ \ell^{-} \bar{\nu}_\ell$	$< 5.0 \times 10^{-3}$

See the end of the  $D^0$  listings for these references: <sup>a</sup>BITENC 05, <sup>b</sup>CAWLFIELD 05, <sup>c</sup>AUBERT 04, <sup>d</sup>AITALA 96C.

**Wrong-sign decays to hadronic non-CP eigenstates:**

Consider the final state  $f = K^+\pi^-$ , where  $A_f$  is doubly Cabibbo-suppressed. The ratio of decay amplitudes is

$$\frac{A_f}{\bar{A}_f} = -\sqrt{R_D} e^{-i\delta}, \quad \left| \frac{A_f}{\bar{A}_f} \right| \sim O(\tan^2 \theta_c), \quad (13)$$

where  $R_D$  is the doubly Cabibbo-suppressed (DCS) decay rate relative to the Cabibbo-favored (CF) rate, the minus sign originates from the sign of  $V_{us}$  relative to  $V_{cd}$ , and  $\delta$  is the phase difference between DCS and CF processes not attributed to the first-order electroweak spectator diagram.

We characterize the violation of  $CP$  in the mixing amplitude, the decay amplitude, and the interference between mixing and decay, by real-valued parameters  $A_M$ ,  $A_D$ , and  $\phi$ . We adopt a parametrization similar to that of Nir [8] and CLEO [GODANG 00] and express these quantities in a way that is convenient to describe the three types of  $CP$  violation:

$$\left| \frac{q}{p} \right| = 1 + A_M, \quad (14)$$

$$\chi_f^{-1} \equiv \frac{pA_f}{q\bar{A}_f} = \frac{-\sqrt{R_D}(1 + A_D)}{(1 + A_M)} e^{-i(\delta+\phi)}, \quad (15)$$

$$\chi_{\bar{f}} \equiv \frac{q\bar{A}_{\bar{f}}}{pA_{\bar{f}}} = \frac{-\sqrt{R_D}(1 + A_M)}{(1 + A_D)} e^{-i(\delta-\phi)}. \quad (16)$$

In general,  $\chi_{\bar{f}}$  and  $\chi_f^{-1}$  are independent complex numbers. To leading order,

$$r(t) = e^{-t} \times \left[ R_D(1 + A_D)^2 + \sqrt{R_D}(1 + A_M)(1 + A_D)y'_-t + \frac{(1 + A_M)^2 R_M}{2} t^2 \right] \quad (17)$$

and

$$\bar{r}(t) = e^{-t} \times \left[ \frac{R_D}{(1 + A_D)^2} + \frac{\sqrt{R_D}}{(1 + A_D)(1 + A_M)} y'_+t + \frac{R_M}{2(1 + A_M)^2} t^2 \right]. \quad (18)$$

Here

$$y'_\pm \equiv y' \cos \phi \pm x' \sin \phi = y \cos(\delta \mp \phi) - x \sin(\delta \mp \phi) \quad (19)$$

$$y' \equiv y \cos \delta - x \sin \delta, \quad x' \equiv x \cos \delta + y \sin \delta, \quad (20)$$

and  $R_M$  is the mixing rate relative to the time-integrated right-sign rate.

The three terms in Eq. (17) and Eq. (18) probe the three fundamental types of  $CP$  violation. In the limit of  $CP$  conservation,  $A_M$ ,  $A_D$ , and  $\phi$  are all zero, and then

$$r(t) = \bar{r}(t) = e^{-t} \left( R_D + \sqrt{R_D} y' t + \frac{1}{2} R_M t^2 \right), \quad (21)$$

and the time-integrated wrong-sign rate relative to the integrated right-sign rate is

$$R = \int_0^\infty r(t) dt = R_D + \sqrt{R_D} y' + R_M. \quad (22)$$

The ratio  $R$  is the most readily accessible experimental quantity. Table 2 gives recent measurements of  $R$  in  $D^0 \rightarrow K^+ \pi^-$  decay. The average of these results,  $R = (0.376 \pm 0.009)\%$ , is about two standard deviations from the average of earlier, less precise results,  $R = (0.81 \pm 0.23)\%$ , which we have omitted.

**Table 2:** Results for  $R$  in  $D^0 \rightarrow K^+ \pi^-$ .

Year	Exper.	Technique	$R(\times 10^{-3})$	$A_D(\%)$
2006	Belle <sup>a</sup>	$e^+ e^- \rightarrow \mathcal{T}(4S)$	$3.77 \pm 0.08 \pm 0.05$	—
2005	FOCUS <sup>b</sup>	$\gamma$ BeO	$4.29 \pm 0.63 \pm 0.28$	$18.0 \pm 14.0 \pm 4.1$
2003	BABAR <sup>c</sup>	$e^+ e^- \rightarrow \mathcal{T}(4S)$	$3.57 \pm 0.22 \pm 0.27$	$9.5 \pm 6.1 \pm 8.3$
2000	CLEO <sup>d</sup>	$e^+ e^- \rightarrow \mathcal{T}(4S)$	$3.32_{-0.65}^{+0.63} \pm 0.40$	$2_{-20}^{+19} \pm 1$

See the end of the  $D^0$  listings for these references: <sup>a</sup>ZHANG 06, <sup>b</sup>LINK 05, <sup>c</sup>AUBERT 03Z, <sup>d</sup>GODANG 00.

The contributions to  $R$ —allowing for  $CP$  violation—can be extracted by fitting the  $D^0 \rightarrow K^+ \pi^-$  and  $\bar{D}^0 \rightarrow K^- \pi^+$  decay rates. Table 2 gives the constraints on  $A_D$  with  $x' = y' = 0$ . Table 3 summarizes the results for  $y'$  and  $x'^2/2$ . Figure 1 shows the two-dimensional allowed regions. No meaningful constraints on  $A_M$  and  $\phi$  have been reported.

**Table 3:** Results from studies of the time dependence  $r(t)$ .

Year	Exper.	$y'$ (95% C.L.)	$x'^2/2$ (95% C.L.)
2006	Belle <sup>a</sup>	$-2.8 < y' < 2.1$ %	$< 0.036$ %
2005	FOCUS <sup>b</sup>	$-11.2 < y' < 6.7$ %	$< 0.40$ %
2003	BABAR <sup>c</sup>	$-5.6 < y' < 3.9$ %	$< 0.11$ %
2000	CLEO <sup>d</sup>	$-5.8 < y' < 1.0$ %	$< 0.041$ %

See the end of the  $D^0$  listings for these references: <sup>a</sup>ZHANG 06, <sup>b</sup>LINK 05, <sup>c</sup>AUBERT 03Z, <sup>d</sup>GODANG 00.

Extraction of the amplitudes  $x$  and  $y$  from the results in Table 3 requires knowledge of the relative strong phase  $\delta$ , a subject of theoretical discussion [4,9–11]. In most cases, it appears difficult for theory to accommodate  $\delta > 25^\circ$ , although the judicious placement of a  $K\pi$  resonance could allow  $\delta$  to be as large as  $40^\circ$ .

A quantum interference effect that provides useful sensitivity to  $\delta$  arises in the decay chain  $\psi(3770) \rightarrow D^0\bar{D}^0 \rightarrow (f_{cp})(K^+\pi^-)$ , where  $f_{cp}$  denotes a  $CP$  eigenstate from  $D^0$  decay, such as  $K^+K^-$ [1, 16]. Here, the amplitude triangle relation

$$\sqrt{2}A(D_\pm \rightarrow K^-\pi^+) = A(D^0 \rightarrow K^-\pi^+) \pm A(\bar{D}^0 \rightarrow K^-\pi^+), \quad (23)$$

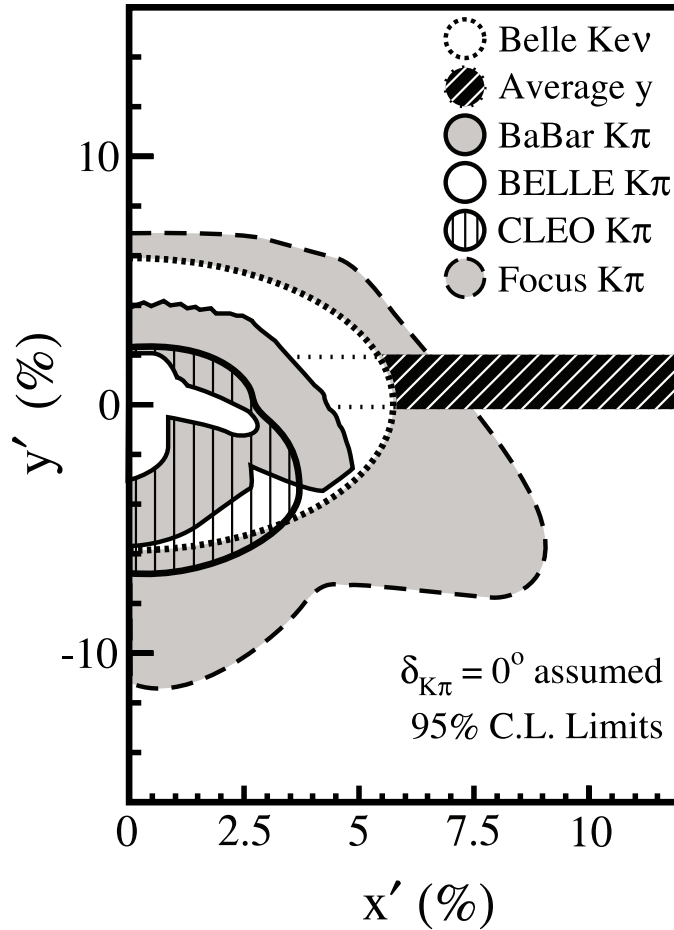
where  $D_\pm$  denotes a  $CP$  eigenstate, implies that

$$\cos \delta = \frac{B(D_+ \rightarrow K^-\pi^+) - B(D_- \rightarrow K^-\pi^+)}{2\sqrt{R_D}B(D^0 \rightarrow K^-\pi^+)}, \quad (24)$$

neglecting  $CP$  violation and exploiting  $R_D \ll \sqrt{R_D}$ .

The strong phase  $\delta$  might also be determined by constructing amplitude quadrangles from a complete set of branching fraction measurements of the other DCS  $D$  decays to two pseudoscalars [12]. This analysis would have to assume that the amplitudes from both  $\Delta I = 1$  and  $\Delta I = 0$  that populate the total  $I = 1/2$   $K\pi$  state have the same strong phase relative to the amplitude that populates the total  $I = 3/2$   $K\pi$  state.

The Dalitz-plot analyses of DCS  $D$  decays to a pseudoscalar and a vector allow the measurement of the relative strong phase



**Figure 1:** Allowed regions in the  $x'y'$  plane. The allowed region for  $y$  is the average of the results from E791<sup>a</sup>, FOCUS<sup>b</sup>, CLEO<sup>c</sup>, BABAR<sup>d</sup>, and Belle<sup>e</sup>. Also shown is the limit from  $D^0 \rightarrow K^{(*)}\ell\nu$  from Belle<sup>f</sup> and limits from  $D \rightarrow K\pi$  from CLEO<sup>g</sup>, BABAR<sup>h</sup>, Belle<sup>i</sup> and FOCUS<sup>j</sup>. The CLEO, BABAR and Belle results allow  $CP$  violation in the decay and mixing amplitudes, and in the interference between these two processes. The FOCUS result does not allow  $CP$  violation. We assume  $\delta = 0$  to place the  $y$  results. A non-zero  $\delta$  would rotate the  $D^0 \rightarrow CP$  eigenstates confidence region clockwise about the origin by  $\delta$ . All results are consistent with the absence of mixing. See the end of the  $D^0$  listings for these references: <sup>a</sup>AITALA 99E, <sup>b</sup>LINK 00, <sup>c</sup>CSORNA 02, <sup>d</sup>AUBERT 03P, <sup>e</sup>ABE 02I, <sup>f</sup>BITENC 05, <sup>g</sup>GODANG 00, <sup>h</sup>AUBERT 03Z, <sup>i</sup>ZHANG 06, <sup>j</sup>LINK 05. See full-color version on color pages at end of book.

between some amplitudes, providing additional constraints to the amplitude quadrangle [13] and thus the determination of the strong phase difference between the relevant DCS and CF amplitudes. In  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ , the DCS and CF decay amplitudes populate the same Dalitz plot, which allows direct measurement of the relative strong phase. CLEO has measured the relative phase between  $D^0 \rightarrow K^*(892)^+ \pi^-$  and  $D^0 \rightarrow K^*(892)^- \pi^+$  to be  $(189 \pm 10 \pm 3_{-5}^{+15})^\circ$  [MURAMATSU 02], consistent with the  $180^\circ$  expected from Cabibbo factors and a small strong phase.

There are several results for  $R$  measured in multibody final states with nonzero strangeness. Here  $R$ , defined in Eq. (22), becomes an average over the Dalitz space, weighted by experimental efficiencies and acceptance. Table 4 summarizes the results.

**Table 4:** Results for  $R$  in  $D^0 \rightarrow K^{(*)+} \pi^- (n\pi)$ .

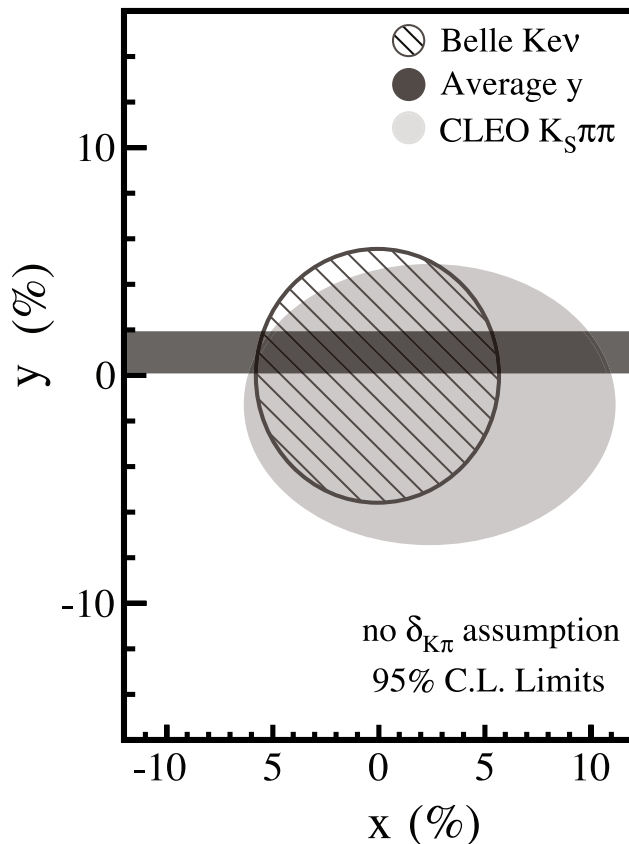
Year	Exper.	$D^0$ final state	$R(\%)$
2005	Belle <sup>a</sup>	$K^+ \pi^- \pi^+ \pi^-$	$0.320 \pm 0.019_{-0.013}^{+0.018}$
2005	Belle <sup>a</sup>	$K^+ \pi^- \pi^0$	$0.229 \pm 0.017_{-0.009}^{+0.013}$
2002	CLEO <sup>b</sup>	$K^{*+} \pi^-$	$0.5 \pm 0.2_{-0.1}^{+0.6}$
2001	CLEO <sup>c</sup>	$K^+ \pi^- \pi^+ \pi^-$	$0.41_{-0.11}^{+0.12} \pm 0.04$
2001	CLEO <sup>d</sup>	$K^+ \pi^- \pi^0$	$0.43_{-0.10}^{+0.11} \pm 0.07$
1998	E791 <sup>e</sup>	$K^+ \pi^- \pi^+ \pi^-$	$0.68_{-0.33}^{+0.34} \pm 0.07$

See the end of the  $D^0$  listings for these references: <sup>a</sup>TIAN 05, <sup>b</sup>MURAMATSU 02, <sup>c</sup>DYTMAN 01, <sup>d</sup>BRANDENBURG 01, <sup>e</sup>AITALA 98.

For multibody final states, Eqs. (13)–(22) apply to one point in the Dalitz space. Although  $x$  and  $y$  do not vary across the space, knowledge of the resonant substructure is needed to extrapolate the strong phase difference  $\delta$  from point to point. Both the sign and magnitude of  $x$  and  $y$  may be measured using the time-dependent resonant substructure of multibody  $D^0$  decays. CLEO has performed a time-dependent Dalitz-plot analysis of  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ , and reports  $(-4.5 < x < 9.3)\%$  and



$(-6.4 < y < 3.6)\%$  at the 95% confidence level, without phase or sign ambiguity [ASNER 05], as shown in Figure 2.



**Figure 2:** Allowed regions in the  $xy$  plane. No assumption is made regarding  $\delta$ . The allowed region for  $y$  is the average of the results from E791<sup>a</sup>, FOCUS<sup>b</sup>, CLEO<sup>c</sup>, BABAR<sup>d</sup>, and Belle<sup>e</sup>. Also shown is the limit from  $D^0 \rightarrow K^{(*)} \ell \nu$  from Belle<sup>f</sup>. The CLEO experiment has constrained  $x$  and  $y$  with the time-dependent Dalitz-plot analysis of  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ <sup>g</sup>. All results are consistent with the absence of mixing. See the end of the  $D^0$  listings for these references: <sup>a</sup>AITALA 99E, <sup>b</sup>LINK 00, <sup>c</sup>CSORNA 02, <sup>d</sup>AUBERT 03P, <sup>e</sup>ABE 02I, <sup>f</sup>BITENC 05, <sup>g</sup>ASNER 05.

**Decays to  $CP$  Eigenstates:** When the final state  $f$  is a  $CP$  eigenstate, there is no distinction between  $f$  and  $\bar{f}$ , and then  $A_f = A_{\bar{f}}$  and  $\bar{A}_{\bar{f}} = \bar{A}_f$ . We denote final states with  $CP$  eigenvalues  $\pm 1$  by  $f_{\pm}$ . In analogy with Eqs. (7)–(8), the decay rates to  $CP$  eigenstates are then

$$\begin{aligned} r_{\pm}(t) &= \frac{|\langle f_{\pm} | H | D^0(t) \rangle|^2}{|\bar{A}_{\pm}|^2} \\ &= \frac{1}{4} \left| h_{\pm}(t) \left( \frac{A_{\pm}}{\bar{A}_{\pm}} \pm \frac{q}{p} \right) + h_{\mp}(t) \left( \frac{A_{\pm}}{\bar{A}_{\pm}} \mp \frac{q}{p} \right) \right|^2, \\ &\propto \frac{1}{|p|^2} \left| h_{\pm}(t) + \eta_{\pm} h_{\mp}(t) \right|^2, \end{aligned} \quad (25)$$

and

$$\bar{r}_{\pm}(t) = \frac{|\langle f_{\pm} | H | \bar{D}^0(t) \rangle|^2}{|A_{\pm}|^2} \propto \frac{1}{|q|^2} \left| h_{\pm}(t) - \eta_{\pm} h_{\mp}(t) \right|^2, \quad (26)$$

where

$$h_{\pm}(t) = g_+(t) \pm g_-(t) = e^{-iz_{\pm}t}, \quad (27)$$

and

$$\eta_{\pm} \equiv \frac{pA_{\pm} \mp q\bar{A}_{\pm}}{pA_{\pm} \pm q\bar{A}_{\pm}} = \frac{1 \mp \chi_{\pm}}{1 \pm \chi_{\pm}}. \quad (28)$$

The variable  $\eta_{\pm}$  describes  $CP$  violation; it can receive contributions from each of the three fundamental types of  $CP$  violation.

The quantity  $y$  may be measured by comparing the rate for decays to non- $CP$  eigenstates such as  $D^0 \rightarrow K^- \pi^+$  with decays to  $CP$  eigenstates such as  $D^0 \rightarrow K^+ K^-$  [11]. A positive  $y$  would make  $K^+ K^-$  decays appear to have a shorter lifetime than  $K^- \pi^+$  decays. The decay rate for a  $D^0$  into a  $CP$  eigenstate is not described by a single exponential in the presence of  $CP$  violation.

In the limit of weak mixing, where  $|ix + y| \ll 1$ , and small  $CP$  violation, where  $|A_M|$ ,  $|A_D|$ , and  $|\sin \phi| \ll 1$ , the time

dependence of decays to  $CP$  eigenstates is proportional to a single exponential:

$$r_{\pm}(t) \propto \exp\left(-\left[1 \pm \left|\frac{p}{q}\right|(y \cos \phi - x \sin \phi)\right]t\right), \quad (29)$$

$$\bar{r}_{\pm}(t) \propto \exp\left(-\left[1 \pm \left|\frac{q}{p}\right|(y \cos \phi + x \sin \phi)\right]t\right), \quad (30)$$

$$r_{\pm}(t) + \bar{r}_{\pm}(t) \propto e^{-(1 \pm y_{CP})t}. \quad (31)$$

Here

$$y_{CP} = y \cos \phi \left[ \frac{1}{2} \left( \left| \frac{p}{q} \right| + \left| \frac{q}{p} \right| \right) + \frac{A_{\text{prod}}}{2} \left( \left| \frac{p}{q} \right| - \left| \frac{q}{p} \right| \right) \right] \\ - x \sin \phi \left[ \frac{1}{2} \left( \left| \frac{p}{q} \right| - \left| \frac{q}{p} \right| \right) + \frac{A_{\text{prod}}}{2} \left( \left| \frac{p}{q} \right| + \left| \frac{q}{p} \right| \right) \right], \quad (32)$$

and

$$A_{\text{prod}} \equiv \frac{N(D^0) - N(\bar{D}^0)}{N(D^0) + N(\bar{D}^0)} \quad (33)$$

is defined as the production asymmetry of the  $D^0$  and  $\bar{D}^0$ .

The possibility of  $CP$  violation has been considered in the limit of weak mixing and small  $CP$  violation. In this limit there is no sensitivity to  $CP$  violation in direct decay. Belle [14] and BABAR [AUBERT 03P] have measure  $A_{\Gamma}$ , where

$$A_{\Gamma} \equiv \frac{r_{\pm}(t) - \bar{r}_{\pm}(t)}{r_{\pm}(t) + \bar{r}_{\pm}(t)} \approx A_M y \cos \phi - x \sin \phi,$$

allowing  $CP$  violation in interference and mixing.

In the limit of  $CP$  conservation,  $A_{\pm} = \pm \bar{A}_{\pm}$ ,  $\eta_{\pm} = 0$ ,  $y = y_{CP}$ , and

$$r_{\pm}(t) |\bar{A}_{\pm}|^2 = \bar{r}_{\pm}(t) |A_{\pm}|^2 \propto e^{-(1 \pm y_{CP})t}. \quad (34)$$

All measurements of  $y$  and  $A_{\Gamma}$  are relative to the  $D^0 \rightarrow K^- \pi^+$  decay rate. Table 5 summarizes the current status of measurements. The average of the six  $y_{CP}$  measurements is  $0.90 \pm 0.42\%$ .

**Table 5:** Results for  $y$  from  $D^0 \rightarrow K^+ K^-$  and  $\pi^+ \pi^-$ .

Year	Exper.	$D^0$ final state(s)	$y_{CP}(\%)$	$A_\Gamma(\times 10^{-3})$
2003	Belle [14]	$K^+ K^-$	$1.15 \pm 0.69 \pm 0.38$	$-2.0 \pm 6.3 \pm 3.0$
2003	BABAR <sup>a</sup>	$K^+ K^-, \pi^+ \pi^-$	$0.8 \pm 0.4^{+0.5}_{-0.4}$	$-8 \pm 6 \pm 2$
2001	CLEO <sup>b</sup>	$K^+ K^-, \pi^+ \pi^-$	$-1.1 \pm 2.5 \pm 1.4$	—
2001	Belle <sup>c</sup>	$K^+ K^-$	$-0.5 \pm 1.0^{+0.7}_{-0.8}$	—
2000	FOCUS <sup>d</sup>	$K^+ K^-$	$3.4 \pm 1.4 \pm 0.7$	—
1999	E791 <sup>e</sup>	$K^+ K^-$	$0.8 \pm 2.9 \pm 1.0$	—

See the end of the  $D^0$  listings for these references: <sup>a</sup>AUBERT 03P, <sup>b</sup>CSORNA 02, <sup>c</sup>ABE 02I, <sup>d</sup>LINK 00, <sup>e</sup>AITALA 99E.

Substantial work on the integrated  $CP$  asymmetries in decays to  $CP$  eigenstates indicates that  $A_{CP}$  is consistent with zero at the few percent level [15]. The expression for the integrated  $CP$  asymmetry that includes the possibility of  $CP$  violation in mixing is

$$A_{CP} = \frac{\Gamma(D^0 \rightarrow f_\pm) - \Gamma(\bar{D}^0 \rightarrow f_\pm)}{\Gamma(D^0 \rightarrow f_\pm) + \Gamma(\bar{D}^0 \rightarrow f_\pm)} \quad (35)$$

$$= \frac{|q|^2 - |p|^2}{|q|^2 + |p|^2} + 2\text{Re}(\eta_\pm). \quad (36)$$

**Coherent  $D^0 \bar{D}^0$  Analyses:** Measurements of  $R_D$ ,  $\cos \delta$ ,  $x$ , and  $y$  can be made simultaneously in a combined fit to the single-tag (ST) and double-tag (DT) yields or individually by a series of “targeted” analyses [16, 17].

The “comprehensive” analysis simultaneously measures mixing and DCS parameters by examining various ST and DT rates. Due to quantum correlations in the  $C = -1$  and  $C = +1$   $D^0 \bar{D}^0$  pairs produced in the reactions  $e^+ e^- \rightarrow D^0 \bar{D}^0(\pi^0)$  and  $e^+ e^- \rightarrow D^0 \bar{D}^0 \gamma(\pi^0)$ , respectively, the time-integrated  $D^0 \bar{D}^0$  decay rates are sensitive to interference between amplitudes for indistinguishable final states. The size of this interference is governed by the relevant amplitude ratios and can include contributions from  $D^0$ - $\bar{D}^0$  mixing.

**Table 6:** CLEO-c results from time-integrated yields at  $\psi(3770) \rightarrow D\bar{D}$ .

Parameter	CLEO-c fitted value	Other results
$y$ (Table 5)	$-0.058 \pm 0.066$	$(0.90 \pm 0.42)\%$
$\cos \delta_{K\pi}$	$1.09 \pm 0.66$	—
$R_M$ (Table 1)	$(1.7 \pm 1.5) \times 10^{-3}$	$< 0.1\%$ (95% C.L.)
$x^2/2$ (Table 3)	$< 0.44\%$ @ (95% C.L.)	$< 0.036\%$ (95% C.L.)

The following categories of final states are considered:

**$f$  or  $\bar{f}$ :** Hadronic states accessed from either  $D^0$  or  $\bar{D}^0$  decay but that are not  $CP$  eigenstates. An example is  $K^-\pi^+$ , which results from Cabibbo-favored  $D^0$  transitions or DCS  $\bar{D}^0$  transitions.

**$\ell^+$  or  $\ell^-$ :** Semileptonic or purely leptonic final states, which, in the absence of mixing, tag unambiguously the flavor of the parent  $D$ .

**$S_+$  or  $S_-$ :**  $CP$ -even and  $CP$ -odd eigenstates, respectively.

The decay rates for  $D^0\bar{D}^0$  pairs to all possible combinations of the above categories of final states are calculated in Ref. [4], for both  $C = -1$  and  $C = +1$ , reproducing the work of Refs. [5, 10]. Such  $D^0\bar{D}^0$  combinations, where both  $D$  final states are specified, are double tags. In addition, the rates for single tags, where either the  $D^0$  or  $\bar{D}^0$  is identified and the other neutral  $D$  decays generically are given in Ref. [4].

CLEO-c has reported results using  $281 \text{ pb}^{-1}$  of  $e^+e^- \rightarrow \psi(3770)$  data [18], where the quantum coherent  $D^0\bar{D}^0$  pairs are in the  $C = -1$  state. The values of  $y$ ,  $R_M$ , and  $\cos \delta$  are determined from a combined fit to the ST (hadronic only) and DT yields. The hadronic final states included in the analysis are  $K^-\pi^+$  ( $f$ ),  $K^+\pi^-$  ( $\bar{f}$ ),  $K^-K^+$  ( $S_+$ ),  $\pi^+\pi^-$  ( $S_+$ ),  $K_S^0\pi^0\pi^0$  ( $S_+$ ), and  $K_S^0\pi^0$  ( $S_-$ ). Both of the two flavored final states,  $K^-\pi^+$  and  $K^+\pi^-$ , can be reached via CF or DCS transitions.

Semileptonic DT yields are also included, where one  $D$  is fully reconstructed in one of the hadronic modes listed above, and the other  $D$  is partially reconstructed, requiring that only the electron be found. When the electron is accompanied by a flavor tag ( $D \rightarrow K^-\pi^+$  or  $K^+\pi^-$ ), only the “right-sign” DT

sample, where the electron and kaon charges are the same, is used. Extraction of the DCS “wrong-sign” semileptonic yield is not feasible with the current CLEO-c data sample, and the parameter  $r_{K\pi}$  is constrained to the world average. Table 6 shows the results of the fit to the CLEO-c data.

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